

# Local Distributed Rounding:

A Tool for Efficient Deterministic Distributed Symmetry Breaking

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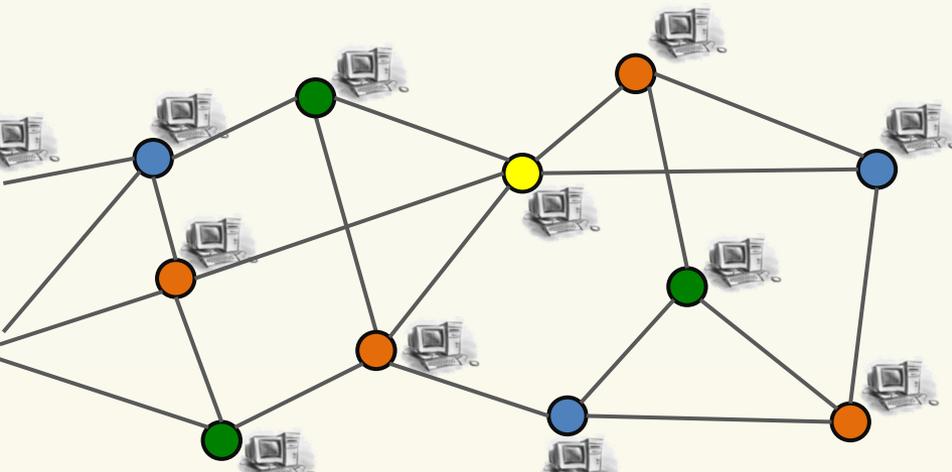
*joint work with*

Salwa Faour (U. Freiburg)

Mohsen Ghaffari (MIT)

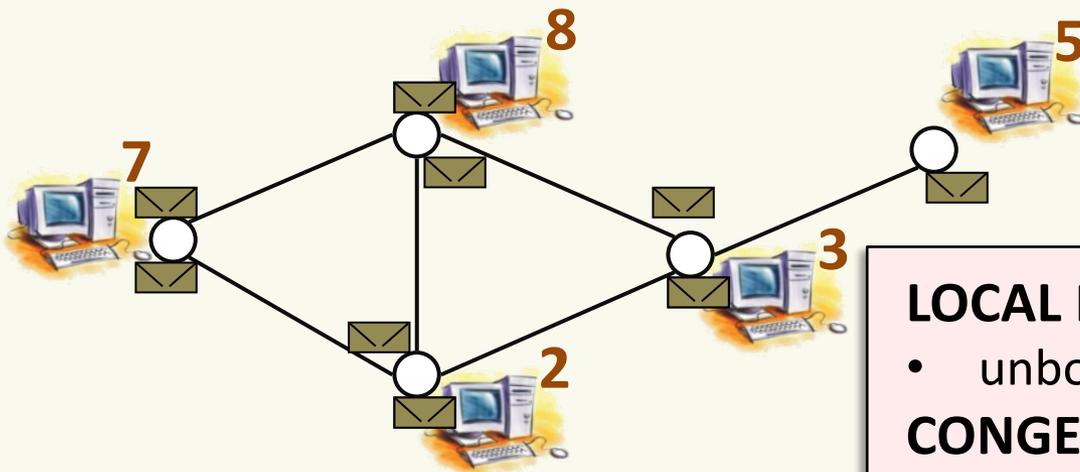
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# Distributed Graph Algorithms

Network is modeled as a graph



## General assumption

- $n$  nodes
- $O(\log n)$  bit IDs  
(IDs  $\in \{1, \dots, N\}$ )

## LOCAL Model

- unbounded message size

## CONGEST Model

- $O(\log n)$ -bit messages

## Synchronous rounds

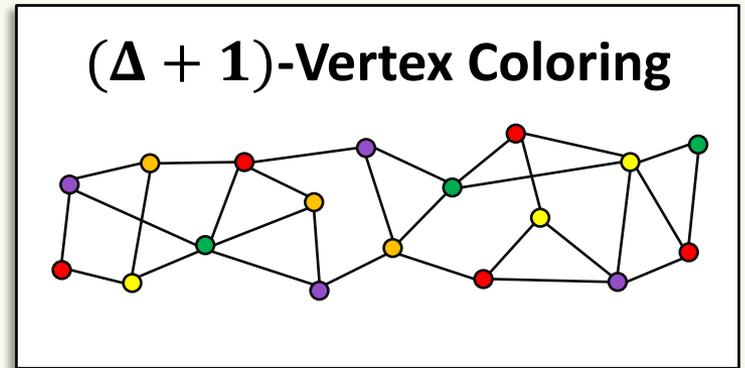
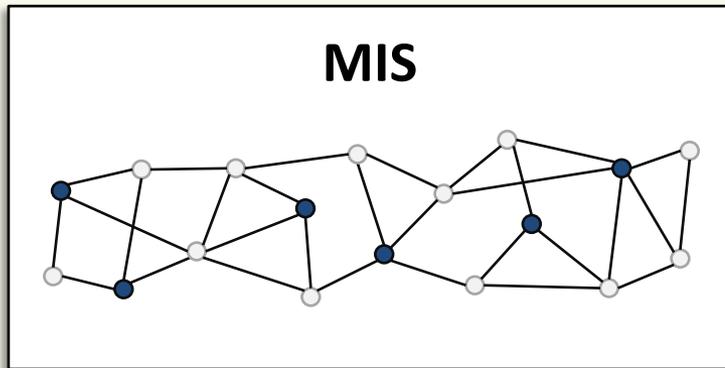
1. Each node/computer does some (unrestricted) internal computation
2. Send a message to each neighbor
3. Receive message from each neighbor

**time complexity = number of rounds**

# Four Classic Problems (since 1980s)

Simple reduction

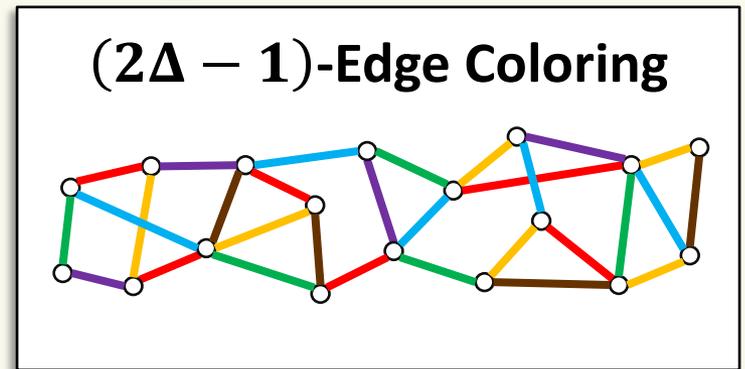
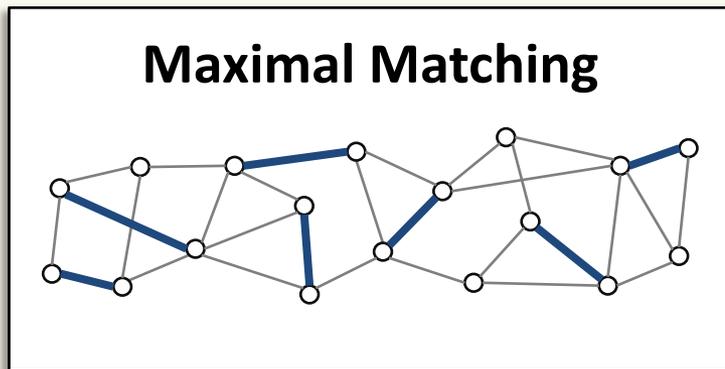
[Luby; STOC '85], [Linial; FOCS '87]



MIS on line graph



$(\Delta + 1)$ -coloring  
on line graph



# Four Problems, State of the Art, Early 2019

## MIS

**Rand.:**  $O(\log \Delta) + 2^{O(\sqrt{\log \log n})}$   
 [Ghaffari; SODA '16]

**Det.:**  $2^{O(\sqrt{\log n})}$   
 [Panconesi, Srinivasan; STOC '92]

## Maximal Matching

**Rand.:**  $O(\log \Delta) + O(\log^3 \log n)$   
 [Barenboim, Elkin, Pettie, Schneider; FOCS '12]

**Det.:**  $O(\log^2 \Delta \cdot \log n)$   
 [Fischer; DISC '17]

**Det.:**  $\Omega(\log n / \log \log n)$   
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 [K., Moscibroda, Wattenhofer; PODC '04]

## $(\Delta + 1)$ -Vertex Coloring

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 [Chang, Li, Pettie; STOC '18]

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## $(2\Delta - 1)$ -Edge Coloring

**Rand.:**  $\tilde{O}(\log^3 \log n)$   
 [Elkin, Pettie, Su; SODA '15]

**Det.:**  $\tilde{O}(\log^2 \Delta \cdot \log n)$   
 [Harris; FOCS '19]

**Rand.:**  $\Omega(\log^* n)$   
 [Linial; FOCS '87], [Naor; 1990]

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**RandomizedTime( $n$ )  $\geq$  DeterministicTime( $\sqrt{\log n}$ )**

[Chang, Kopelowitz, Pettie; FOCS '16]

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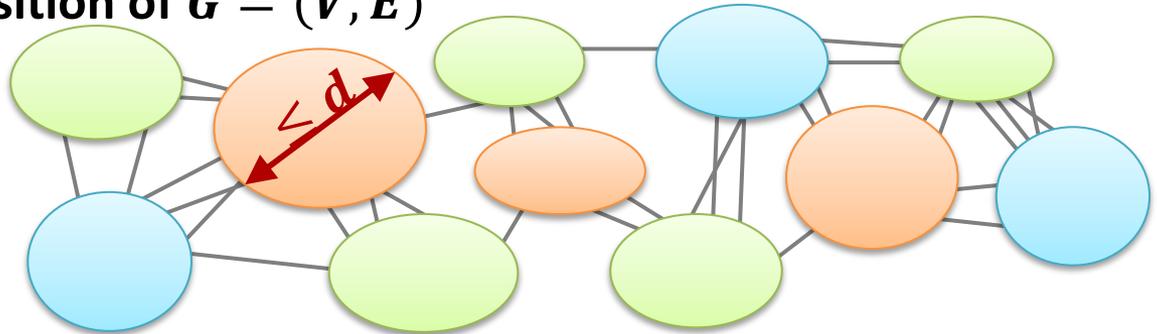
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# The Breakthrough of Rozhoň & Ghaffari

[Awerbuch, Goldberg, Luby, Plotkin; FOCS '89]

## Definition: $(d, c)$ -decomposition of $G = (V, E)$

- Partition of  $V$  into **clusters** of **diameter  $\leq d$**
- Coloring of the **cluster graph** with  **$c$  colors**



## Fast Deterministic Decomposition:

[Rozhoň, Ghaffari; STOC '20]

- There is an  **$O(\log^7 n)$ -round deterministic distributed alg.** to compute an  **$(O(\log n), O(\log n))$ -decomposition.**
- Implies  $O(\log^7 n)$ -round deterministic distributed algorithms for MIS and  $(\Delta + 1)$ -coloring.
- Implies **poly  $\log n$ -round deterministic distributed algorithms** for **all locally checkable problems** with **poly  $\log n$ -round randomized algorithms.**
- The time was improved to  $O(\log^5 n)$  in [Ghaffari, Grunau, Rozhoň; SODA '21]

# Four Classic Problems

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# New More Direct Deterministic Algorithms

- Algorithms based on network decomposition are quite brute-force
  - The algorithms really exploit the LOCAL model
    - even for the four classic problems, and especially when derandomizing by using the method of conditional expectations
- **Can we get similar results more directly?**

**Theorem:** The MIS and  $(\Delta + 1)$ -coloring problems can be solved **deterministically** in  **$O(\log^2 \Delta \cdot \log n)$  rounds** in the LOCAL model.

- Based on a **generic technique** for **rounding fractional solutions**
  - Algorithm for  $(\Delta + 1)$ -coloring appeared in [Ghaffari, K.; FOCS '21]
  - MIS alg. / generic rounding in [Faour, Ghaffari, Grunau, K., Rozhoň; SODA '23]
- Almost the same bounds hold in the CONGEST model (msg. of  $O(\log n)$  bits)
  - MIS at the cost of an  $O(\log \log \Delta)$  factor

# Complexity of Four Classic Problems

## MIS

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## Observations

- Solving a fractional variant of a problem is often easier
- Typically does not require to break symmetries
- Often simple deterministic solutions or easy to derandomize
- Round gradually  $\Rightarrow$  break symmetries gradually (and more efficiently)

## Deterministic Distributed Rounding of Fractional Solutions

- Has successfully been used for computing maximal matchings in graphs and bounded-rank hypergraphs (with applications to distr. edge coloring)
  - [Fischer; DISC '17], [Fischer, Ghaffari, K.; FOCS '17]
  - also more implicitly in [Hańćkowiak, Karonski, Panconesi; SODA '98 / PODC '99]
  - and for minimum dominating set in [Deurer, K., Maus; PODC '19]

**For this talk, we first consider a simpler problem**

**compute a large independent set**

# Simple Randomized Independent Set Algorithm

**Setting:** Graph  $G = (V, E)$  with an edge orientation

- Orientation: nodes give priority to join indep. set to their out-neighbors

**Input:** Parameter  $\lambda \in (0,1)$  and  $\forall v \in V$ , a value  $x_v \in [0,1]$  s.t.

$$\forall v \in V : \sum_{u \in N_{out}(v)} x_u \leq \lambda$$

**Algorithm to compute an independent set  $S$ :**

1.  $\forall v \in V$  : mark  $v$  with probability  $x_v$
2.  $\forall v \in V$  :  $v$  joins  $S$  if  $v$  is marked and no  $u \in N_{out}(v)$  is marked

**Analysis:**

$$|S| \geq \#(\text{marked nodes}) - \#(\text{edges with 2 marked nodes})$$

# Randomized Indep. Set Algorithm : Analysis

$$\begin{aligned} \mathbb{E}[|S|] &\geq \sum_{v \in V} x_v - \sum_{v \in V} \sum_{u \in N_{out}(v)} x_v \cdot x_u = \sum_{v \in V} x_v - \sum_{v \in V} x_v \cdot \sum_{u \in N_{out}(v)} x_u \\ &\geq \sum_{v \in V} x_v - \sum_{v \in V} x_v \cdot \lambda = (1 - \lambda) \cdot \sum_{v \in V} x_v \leq \lambda \end{aligned}$$

**Example:**

$$\forall v \in V : x_v = \frac{\lambda}{\deg(v)} \Rightarrow \mathbb{E}[|S|] \geq \lambda \cdot (1 - \lambda) \cdot \sum_{v \in V} \frac{1}{\deg(v)}$$

**Observation:**

- If the node values are integers (i.e.,  $x_v \in \{0,1\}$ ), we have

$$|S| = \mathbb{E}[|S|] \geq \sum_{v \in V} x_v - \sum_{v \in V} \sum_{u \in N_{out}(v)} x_v \cdot x_u$$

## Fractional Independent Set

- Each node  $v$  has a fractional value  $x_v \in [0,1]$
- “Size” of fractional indep. set: expected indep. size of randomized alg.

$$\mathbb{E}[|S|] \geq \sum_{v \in V} x_v - \sum_{v \in V} \sum_{u \in N_{out}(v)} x_v \cdot x_u$$

## Gradual Rounding

- Fractionality of a fractional solution = smallest non-zero  $x_v$  value
  - E.g., if  $x_v \in \{0, 1/2, 1\}$ , fractionality is  $1/2$
- Start with fractional solution and gradually round to integer value  $x_v$
- Gradual rounding = gradually increase the fractionality
- **Goal:** approximately preserve expected independent set size while rounding the solution

# Rounding Overview

## Fractional Solution

potential  $\Phi(\vec{x}) = \sum_{v \in V} x_v$  (utility)  $- \sum_{\{u,v\} \in E} x_u \cdot x_v$  (cost)

## Gradual Rounding:

- At all times, for all  $v$  :  $x_v = 0$  or  $x_v = 2^{-k}$  for some integer  $k \geq 0$
- **Initially**, for all  $v$ :  $x_v = 2^{-k_0}$ , where  $k_0 = \lceil \log_2 \Delta \rceil$
- **After  $i \geq 0$  rounding steps:**

$$x_v = 0 \text{ or } x_v = 2^{-k_i}, \text{ where } k_i = k_0 - i$$

- After  $k_0 = O(\log \Delta)$  steps,  $x_v = 0$  or  $x_v = 1$ 
  - And we thus have an independent set of size  $\Phi(\vec{x})$

## Goal:

- Implement rounding step s.t.  $\Phi(\vec{x})$  drops by factor  $\leq 1 - O(1/\log \Delta)$

## Fractional Solution

$$\begin{aligned}\Phi(\vec{x}) &= \sum_{v \in V} x_v - \sum_{\{u,v\} \in E} x_u \cdot x_v \\ &= \sum_{v \in V} \text{utility}(v) - \sum_{e \in E} \text{cost}(e)\end{aligned}$$

## Rounding of a node:

- $v$  can **round**  $x_v$  such that **utility( $v$ ) -  $\sum_{e \text{ of } v} \text{cost}(e)$  does not increase**
  - Gives a simple sequential rounding algorithm
- As long as no two neighbors are processed at the same time, this allows to round such that  $\Phi(\vec{x})$  does not increase
  - This is however way too slow to be interesting...
- **Idea:** Try to use a **defective coloring** (some monochromatic edges) with a small number of colors and show that  **$\Phi(\vec{x})$  does not increase too much.**

# Using a Defective Coloring

## Weighted Average Defective Coloring:

- For a graph  $G = (V, E)$  with edge weights  $w_e \geq 0$  and  $\varepsilon > 0$ , one can compute a coloring with  $O(1/\varepsilon)$  colors such that at most a  $\varepsilon$ -factor of the total edge weight is on monochromatic edges.
- Such a coloring can be computed in (essentially)  $O(1/\varepsilon)$  rounds.
  - Follows from work in [K.; SPAA '09], [Barenboim, Elkin, Goldenberg; PODC '18], [Kawarabayashi, Schwartzman; DISC '18]

In  $O(1/\varepsilon + \log^* \Delta)$  rounds if an initial  $O(\Delta^2)$ -coloring is given.

**Recall:** 
$$\Phi(\vec{x}) = \sum_{v \in V} \text{utility}(v) - \sum_{e \in E} \text{cost}(e)$$

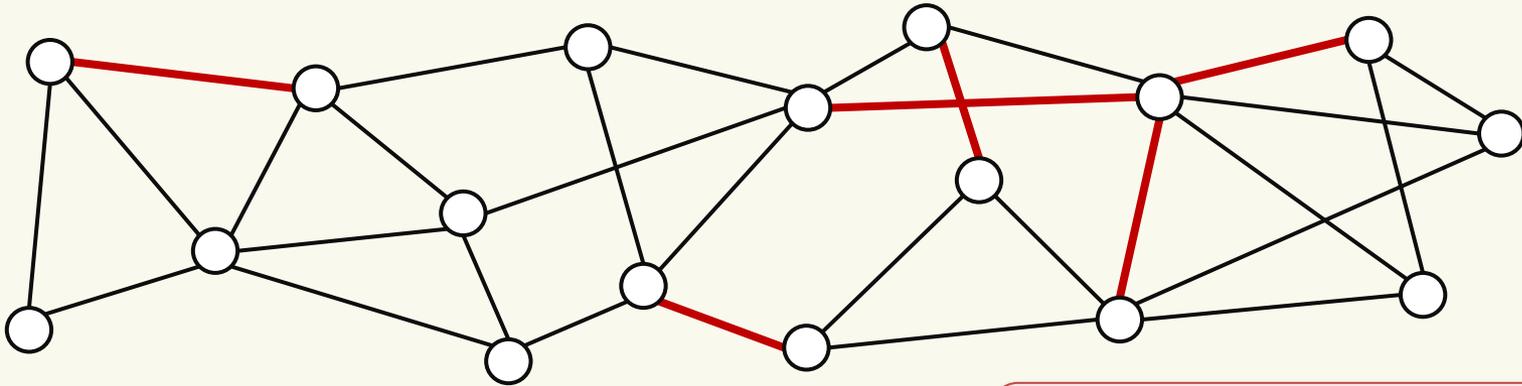
## Algorithm:

1. Defective coloring for edge weights  $\text{cost}(e)$  and  $\varepsilon = 1/\log \Delta$
2. Rounding on the subgraph induced by bichromatic edges

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bichromatic edges

monochromatic edges, at most an  $\varepsilon$ -factor of total cost

$$\Phi(\vec{x}) = \underbrace{\sum_{v \in V} \text{utility}(v) - \sum_{e \in E_b} \text{cost}(e)}_{\text{does not decrease}} - \underbrace{\sum_{e \in E_m} \text{cost}(e)}_{\text{grows by factor } \leq 4}$$

(because fractional values at most double)

# Using a Defective Coloring

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(because fractional values at most double)

$$\Phi(\vec{x}') \geq \Phi(\vec{x}) - 4 \cdot \sum_{e \in E_m} \text{cost}(e) \geq \Phi(\vec{x}) - 4\varepsilon \cdot \sum_{e \in E} \text{cost}(e)$$

new potential

old potential

We have  $\Phi(\vec{x}') \geq (1 - O(\varepsilon)) \cdot \Phi(\vec{x})$  only if **cost = O(utility - cost)**

- This is true at the beginning, but not necessarily after a few rounding steps
- We can however enforce something sufficient, by slightly using a potential function that slightly changes between rounding steps.

Potential Function of Rounding Step  $i \in \{1, \dots, \log \Delta\}$ :

$$\Phi_i(\vec{x}) = \sum_{v \in V} \text{utility}(v) - \left( \frac{3}{2} - \frac{i}{2 \log \Delta} \right) \cdot \sum_{e \in E} \text{cost}(e)$$

### Intuition:

- Initially  $\text{utility} \geq 2 \cdot \text{cost}$ , and hence,  $\Phi_1(\vec{x}) = \Omega(\text{utility})$ 
  - We can implement the first rounding step while maintaining the value of  $\Phi_1(\vec{x})$  up to a  $1 + O(\varepsilon)$  factor.
- For the next rounding step, the gap between positive and negative term grows by  $\Theta(\text{cost}/\log \Delta)$ 
  - If the gap was already  $\Theta(\text{utility})$ , the next rounding step works anyways
  - Otherwise, the gap grows by  $\Theta(\text{utility}/\log \Delta)$  and becomes sufficiently large to make the rounding step work (still with  $\varepsilon = 1/\log \Delta$ )

# Using a Defective Coloring

## Algorithm:

1. Defective coloring for edge weights  $\text{cost}(e)$  and  $\varepsilon = 1/\log \Delta$
2. Rounding on the subgraph induced by the bichromatic edges

## Round complexity of one rounding step:

- Both steps require  $O(1/\varepsilon) = O(\log \Delta)$  rounds.

We need to compute an initial  $O(\Delta^2)$ -coloring for the defective coloring to be as fast as claimed.

## Total round complexity:

- $O(\log \Delta)$  rounding steps  $\Rightarrow$   **$O(\log^2 \Delta + \log^* n)$  rounds**

Time to deterministically compute  
and independent set of size  $\Omega\left(\sum_{v \in V} \frac{1}{\deg(v)}\right)$ .

## General Setting, graph $G = (V, E)$ :

- Every node  $v \in V$  picks a label  $\ell_v$  from a finite alphabet  $\Sigma$

## Potential function

- Quality of labeling is measured by a potential function  $\Phi$
- Potential  $\Phi = U - C$  for utility  $U \geq 0$  and cost  $C \geq 0$
- Cost and utility are defined as sums over node and edge utilities/costs
  - Utility/cost functions can differ arbitrarily between different nodes/edges

## Fractional label assignment

- Each node gets assigned a probability distribution over the labels in  $\Sigma$
- Utility and cost of fractional label assignment are defined as the expected cost if all nodes pick their labels independently according to the given probability distributions (fractional solution)

# Generic Rounding Algorithm

## Fractionality of fractional label assignment

- For node  $v$  and label  $\ell \in \Sigma$ , let  $x_{v,\ell} \in [0,1]$  be the fractional value (i.e., probability) for node  $v$  and label  $\ell$
- **Fractionality of label assignment:** **minimum non-zero  $x_{v,\ell}$ -value**
  - E.g., if all  $x_{v,\ell}$  are  $x_{v,\ell} = 0$  or  $x_{v,\ell} \geq 1/F$ , the fractionality is  $1/F$

Assume we are given a **fractional label assignment** with potential

$$\Phi_0 = U_0 - C_0,$$

Where  $U_0$  is the utility and  $C_0$  is the cost.

If  $\Phi_0 = \Omega(U_0)$ , we are given an **initial  $q$ -coloring**, and the fractionality of the fractional label assignment is  **$1/F$ -fractional**, then one can compute an **integral label assignment** with potential

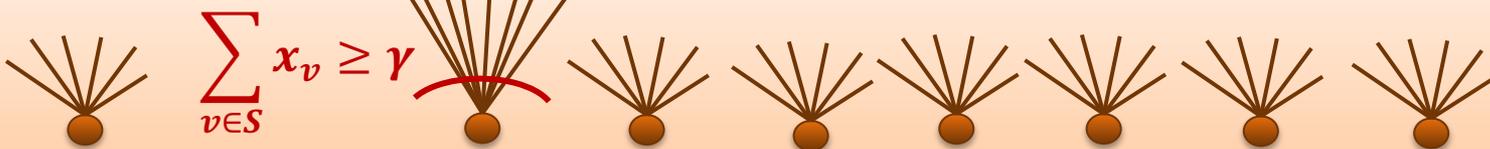
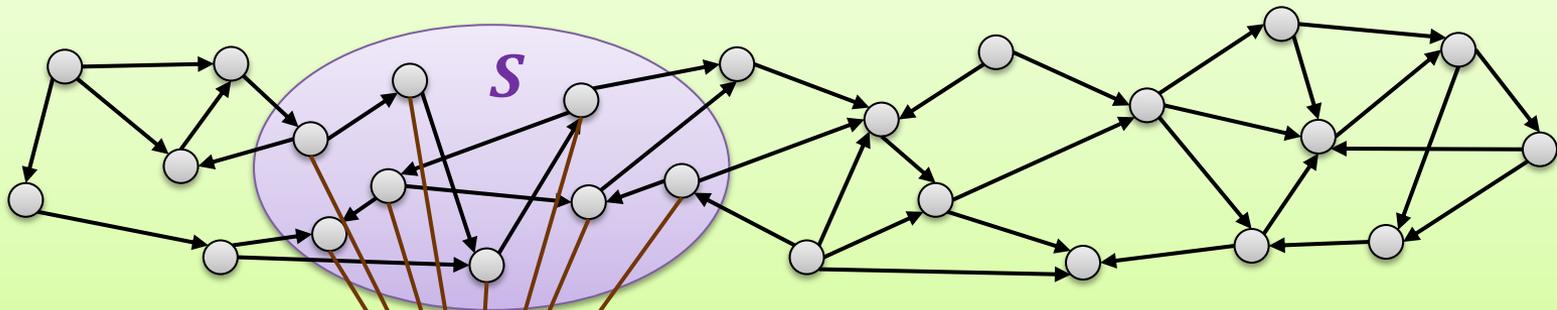
$$\Phi = \Omega(\Phi_0)$$

in  **$O(\log^2 F + \log^* q)$  rounds.**

# Highlevel Idea for MIS Algorithm

## Oriented graph $G$ :

each node  $v$  has a fractional value  $x_v$  s.t.  $\sum_{u \in N_{out}(v)} x_u \leq \lambda$



Set  $U$  of subsets of  $V$ :  $\forall S: \sum_{v \in S} x_v \geq \gamma$

**Goal:** Compute an indep. set of  $G$  that hits a constant fraction of the sets

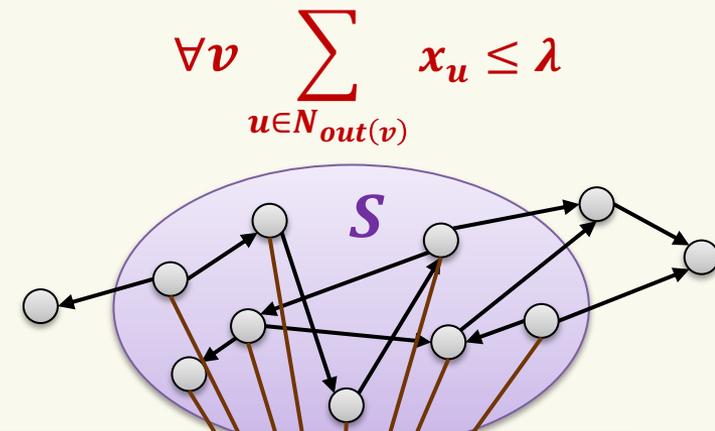
- In our randomized alg., each  $v$  joins ind. set with prob.  $\geq (1 - \lambda) \cdot x_v$
- Each of the sets  $S$  in  $U$  is hit with constant probability
- Let's try to set up edge potentials that allow to derandomize this alg.

# Building a Potential Function : First Try

Define  $\sigma_S := \sum_{v \in S} x_v$  (note that  $\sigma_S \geq \gamma$ )

Break down potential as  $\Phi = \sum_{S \in U} \Phi_S$ :

$$\Phi_S := \frac{1}{\sigma_S} \cdot \left[ \sum_{v \in S} x_v \cdot \left( 1 - \sum_{u \in N_{out}(v)} x_u \right) \right]$$



Initial Potential:

Total initial potential  $\Phi$  is proportional to number of the sets in  $U$ .

$$\Phi_S \leq 1, \quad \Phi_S \geq 1 - \lambda$$

After Rounding if #nodes  $v \in S$  with  $x_v = 1$  is  $k$ :

$$\Phi_S \leq \frac{1}{\sigma_S} \cdot k$$

After rounding,  $\Phi_S \gg 1$  possible:  
Number of sets hit can be much smaller than  $\Phi$

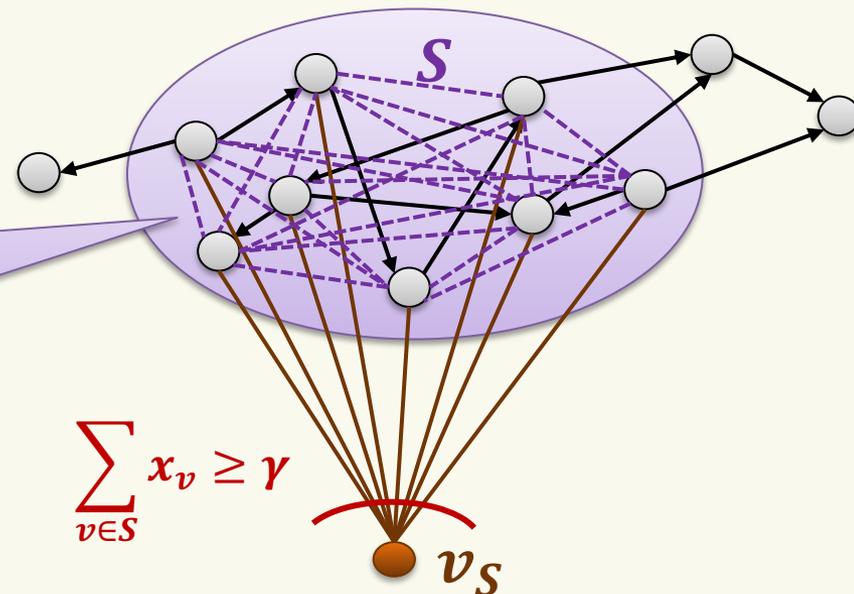
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The rounding graph also needs to have virtual edges between all nodes in  $S$

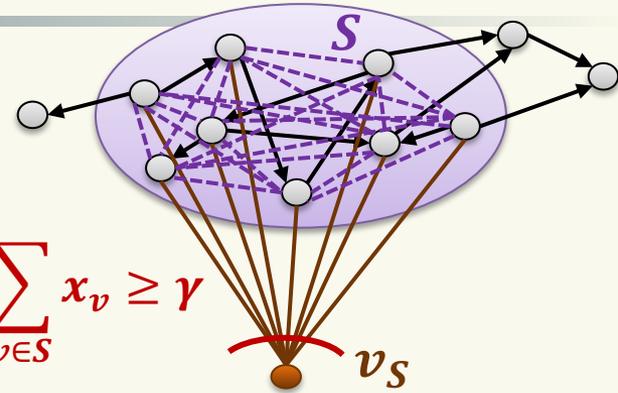


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Break down potential as  $\Phi = \sum_{S \in U} \Phi_S$ :

$$\Phi_S := \frac{1}{\sigma_S} \cdot \left[ \underbrace{\sum_{v \in S} x_v}_{= \sigma_S} \cdot \left( 1 - \underbrace{\sum_{u \in N_{out}(v)} x_u}_{\leq \lambda} \right) - \frac{\mu}{\sigma_S} \underbrace{\sum_{\{u,v\} \in \binom{S}{2}} x_u \cdot x_v}_{\text{if}} \right]$$



Initial Potential:

$$1 \geq \Phi_S$$

Total initial potential  $\Phi$  is proportional to number of the sets in  $U$ .

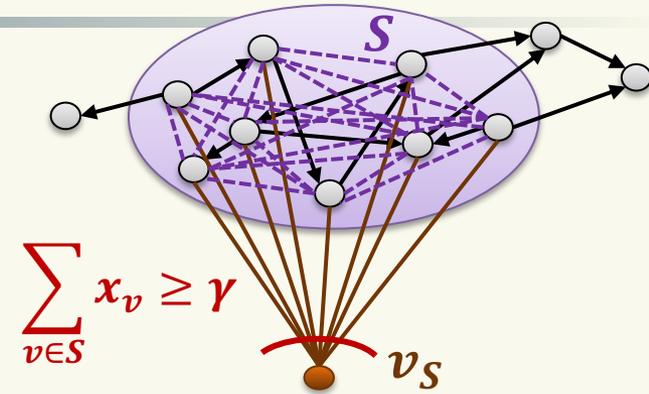
if

# Building a Potential Function : Second Try

Define  $\sigma_S := \sum_{v \in S} x_v$  (note that  $\sigma_S \geq \gamma$ )

Break down potential as  $\Phi = \sum_{S \in \mathcal{U}} \Phi_S$ :

$$\Phi_S := \frac{1}{\sigma_S} \cdot \left[ \sum_{v \in S} x_v \cdot \left( 1 - \sum_{u \in N_{out}(v)} x_u \right) - \frac{\mu}{\sigma_S} \sum_{\{u,v\} \in \binom{S}{2}} x_u \cdot x_v \right]$$



Potential after rounding if  $k$  nodes in  $S$  have  $x_v = 1$ :

- If  $\Phi_S > 0$ , then  $k \geq 1$  and thus, the set  $S$  is hit
- $\Phi_S$  can be upper bounded as

$$\Phi_S \leq \frac{1}{\sigma_S} \cdot \left[ k - \frac{\mu}{\sigma_S} \cdot \binom{k}{2} \right] = \left( \frac{1}{\sigma_S} + \frac{\mu}{2\sigma_S^2} \right) \cdot k - \frac{\mu}{2\sigma_S^2} \cdot k^2$$

We have  $\sigma_S := \sum_{v \in S} x_v \geq \gamma = \Theta(1)$

**Potential after rounding if  $k$  nodes in  $S$  have  $x_v = 1$ :**

- If  $\Phi_S > 0$ , then  $k \geq 1$  and thus, the set  $S$  is hit
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$$\Phi_S \leq \frac{1}{\sigma_S} \cdot \left[ k - \frac{\mu}{\sigma_S} \cdot \binom{k}{2} \right] = \left( \frac{1}{\sigma_S} + \frac{\mu}{2\sigma_S^2} \right) \cdot k - \frac{\mu}{2\sigma_S^2} \cdot k^2$$

- $\Phi_S$  is maximized for  $k = \frac{\sigma_S}{\mu} + \frac{1}{2}$
- We then have

$$\Phi_S \leq \left( \frac{1}{\sigma_S} + \frac{\mu}{2\sigma_S^2} \right) \cdot k = \frac{1}{\mu} + \frac{1}{\sigma_S} + \frac{\mu}{4\sigma_S^2} \leq \frac{1}{\mu} + \frac{1}{\gamma} + \frac{\mu}{4\gamma^2} = O(1)$$

# Building a Potential Function : Summary

## Potential contribution of set $S$

$$\Phi_S := \frac{1}{\sigma_S} \cdot \left[ \sum_{v \in S} x_v \cdot \left( 1 - \sum_{u \in N_{out}(v)} x_u \right) - \frac{\mu}{\sigma_S} \sum_{\{u,v\} \in \binom{S}{2}} x_u \cdot x_v \right]$$

Initial potential of fractional solution:  $\Phi_S = \Theta(1)$

## Potential after rounding :

- If  $\Phi_S > 0$ , then the set  $S$  is hit and we have  $\Phi_S = \Theta(1)$
- Initially, we have  $\Phi = \Theta(|U|)$
- If we also have  $\Phi = \Theta(|U|)$  after rounding, a constant fraction of the sets  $S$  in  $U$  are hit

## Luby's MIS Algorithm

- Each node  $v$  tries to join the MIS with prob.  $x_v = \frac{1}{2 \deg(v)}$

### Application of the generic rounding algorithm:

Given an initial  $\Delta^{O(1)}$ -coloring of the rounding graph, we can find an independent set that covers a constant fraction of all edges in  $O(\log^2 \Delta)$  rounds and thus an MIS in  $O(\log^2 \Delta \cdot \log n)$  rounds.

- Edge  $\{u, v\}$  is called good if  $u$  or  $v$  is good
  - A constant fraction of the edges is good
  - Hitting set problem: define a set  $S$  for each good edge  $e$ , where  $S$  consists of all nodes of edges adjacent to  $e$  (all nodes that remove  $e$ )
  - For each such set  $S$ , we have  $\sum_{v \in S} x_v \geq \frac{1}{2} =: \gamma$

**Remark:**  $O(\log^2 \Delta \cdot \log n)$ -round MIS algorithm implies  $O(\log^2 \Delta \cdot \log n)$ -round algorithms for  $(\Delta + 1)$ -coloring, maximal matching, edge coloring.

**We can also get those results more directly:**

- **Maximal matching:** sufficient to apply the „*large independent set*“ algo. on the line graph with an appropriate initial fractional solution.
- **$(\Delta + 1)$ -coloring:** *Fractional solution* of each node  $s$  given by a *probability distribution over colors* for this node.

**Beyond algorithms for the four standard problems**

- An  $O(\log^2 \Delta + \log^* n)$ -round algorithm to *compute large ind. sets*
  - $(1 - \varepsilon)/\beta$ -approximation with neighborhood independence  $\beta$
- $O(\log s \cdot \log^2 t + \log^* n)$ -round algorithm for  $O(\log s)$ -approximation of *minimum set cover*
  - $s$ : maximum set size,  $t$ : maximum number of sets containing each element

## New algorithm for computing a network decomposition

[Ghaffari, Grunau, Haeupler, Ilchi, Rozhoň; SODA '23]

**$(O(\log n), O(\log n))$ -network decomposition  
in  $O(\log^3 n \cdot \text{poly log log } n)$  rounds.**

- improves over the  $O(\log^5 n)$ -round alg. of [Ghaffari, Grunau, Rozhoň; SODA '21]
- almost the same result also in the CONGEST model

## Even faster algorithm for MIS, etc.

[Ghaffari, Grunau; STOC '23]

**MIS in  $O(\log^2 n \cdot \text{poly log log } n)$  rounds.**

- implies the same bound for all four classic problems
- Requires the LOCAL model
- $O(1)$ -approximation for maximum matching in  
 $O(\log^{4/3} n \cdot \text{poly log log } n)$  rounds.

Thanks!