

# Locality via Alternation?

Fabian Reiter

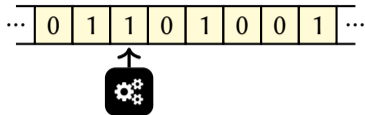
LIGM, Université Gustave Eiffel

ADGA 2024

## Two characterizations of NP



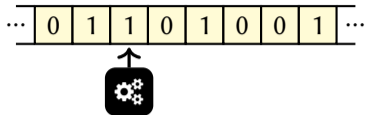
# Two characterizations of NP



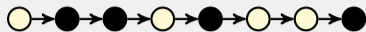
Nondet. polynomial-time  
Turing machines



# Two characterizations of NP



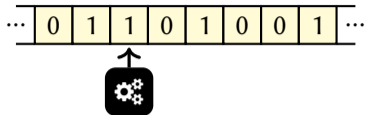
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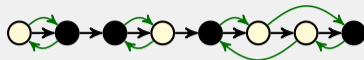
$$\exists R: \text{Bijective}(R) \wedge \forall x, y: R(x, y) \rightarrow (\bullet x \leftrightarrow \neg \bullet y)$$

Existential fragment of  
second-order logic

# Two characterizations of NP



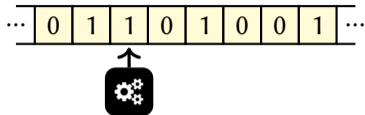
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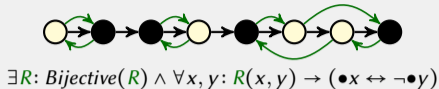
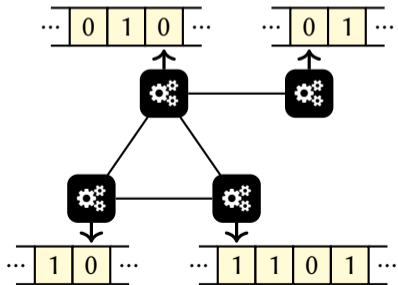
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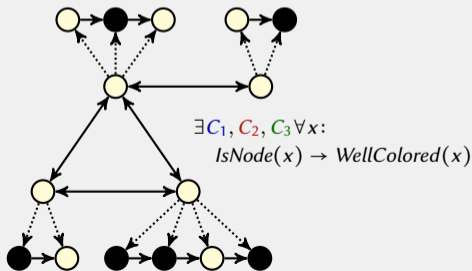


Nondet. polynomial-time  
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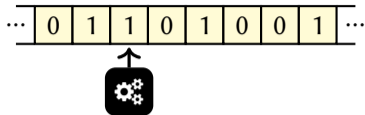


A **Fagin's theorem** 文  
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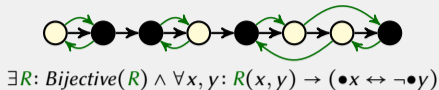
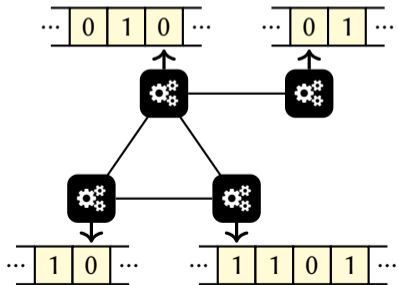
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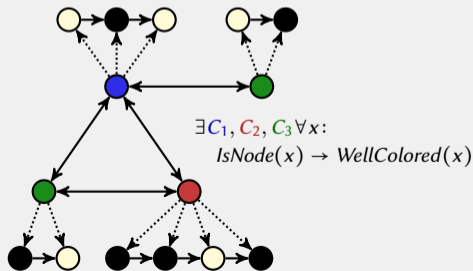


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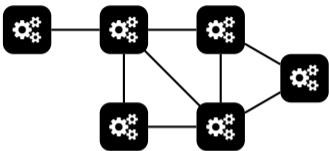


A **Fagin's theorem** 文

Existential fragment of  
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# Model of computation

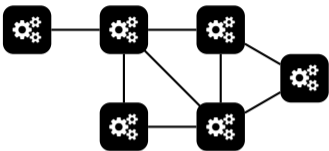


## The LOCAL model

- ▶ Network of nodes with IDs & labels
- ▶ Same algorithm on all nodes
- ▶ Synchronous communication rounds



# Model of computation



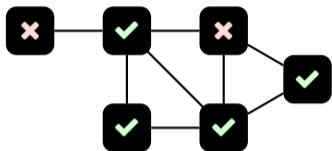
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## Local distributed decision

- ▶ Constant number of rounds
- ▶ Graph  $\left\{ \begin{array}{l} \text{accepted} \text{ unanimously} \\ \text{or rejected by veto} \end{array} \right.$

# Model of computation



**not** Eulerian  
(some nodes of odd degree)

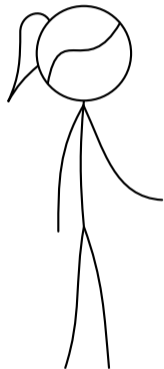
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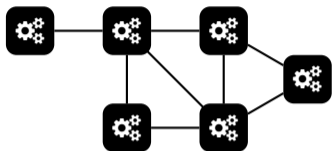
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# Model of computation



Eve ( $\exists$ )



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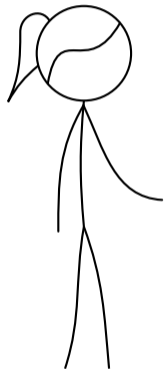
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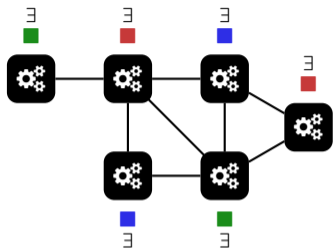
## Nondeterministic extension

- ▶ Certificates chosen by Eve

# Model of computation



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3-colorable  
(Eve can find a 3-coloring)

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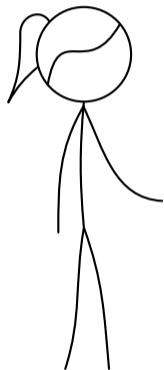
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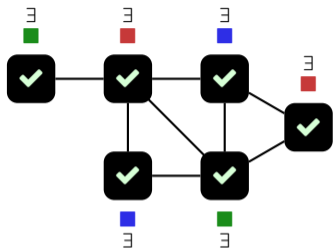
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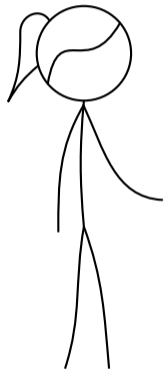
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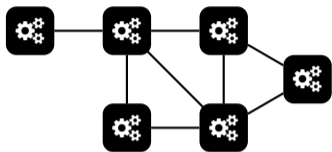
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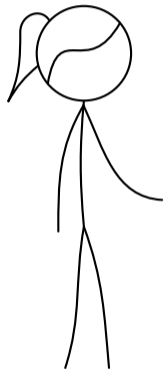
# Alternation



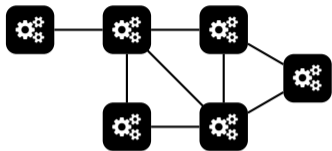
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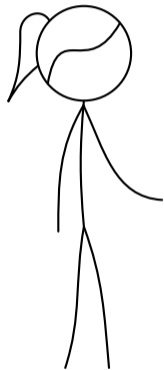


Eve ( $\exists$ )

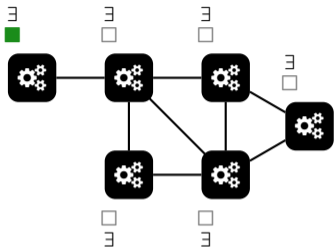


Adam ( $\forall$ )

# Alternation



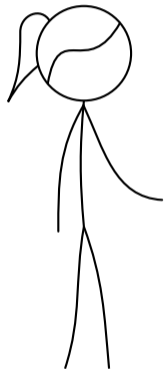
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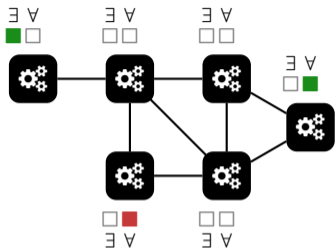
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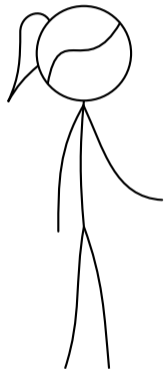


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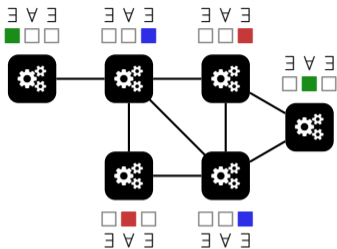


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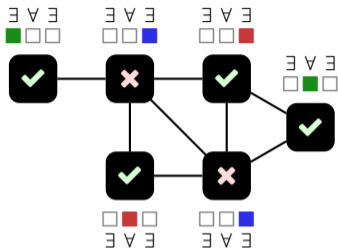


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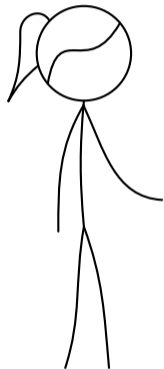


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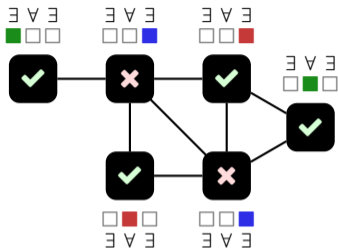


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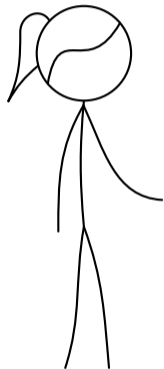


**not** 3-round 3-colorable  
(Adam has a winning strategy)

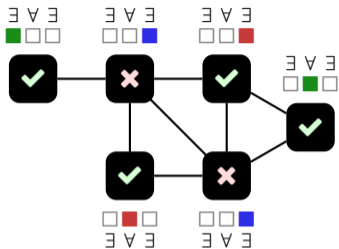


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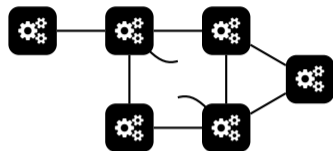
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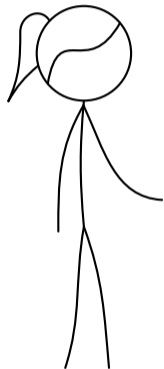


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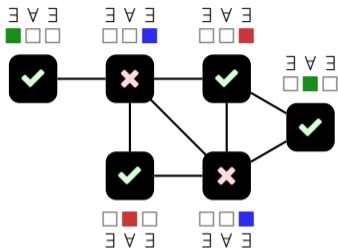


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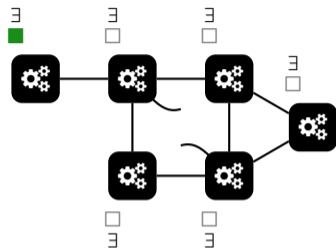
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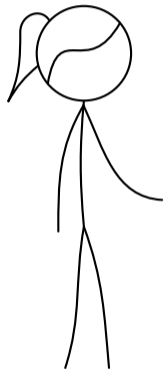


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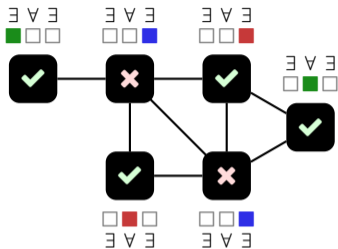


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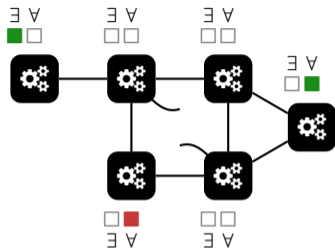
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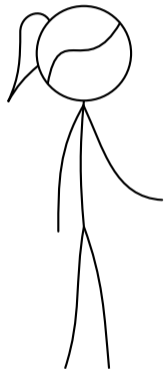


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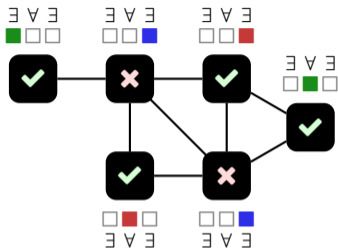


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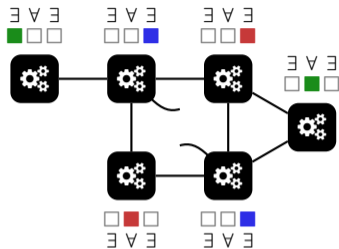
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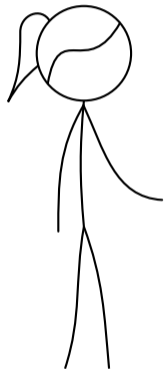
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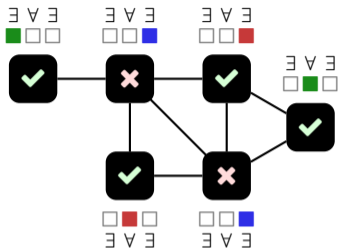
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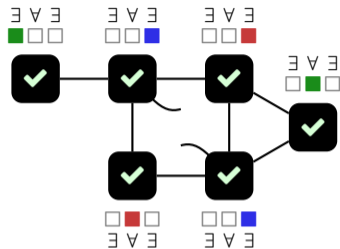
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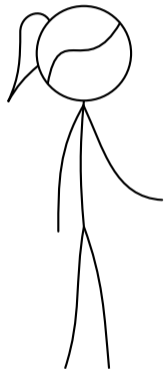


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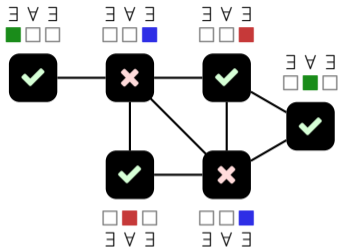


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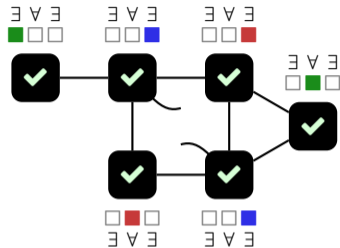
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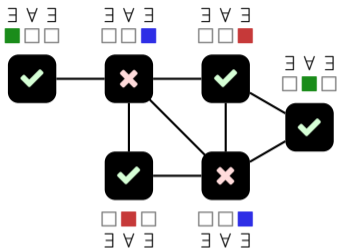


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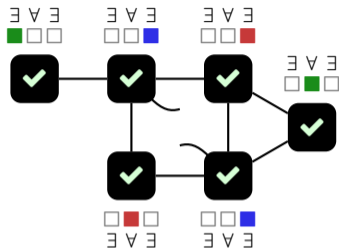


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$$\Sigma_3 \quad \exists \forall \exists$$

$$\Sigma_2 \quad \forall \exists$$

$$\Sigma_1 \quad \exists$$



3-round 3-colorable  
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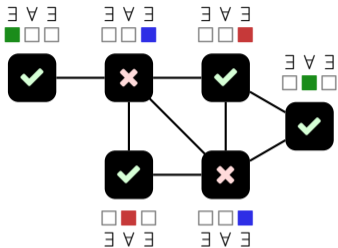


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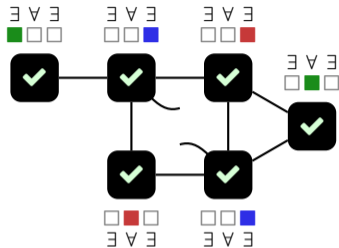
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3-round 3-colorable  
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$$\rightarrow \Sigma_3 \quad \exists \forall \exists$$

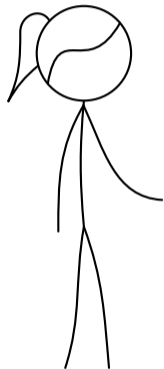
$$\Sigma_2 \quad \forall \exists$$

$$\Sigma_1 \quad \exists$$

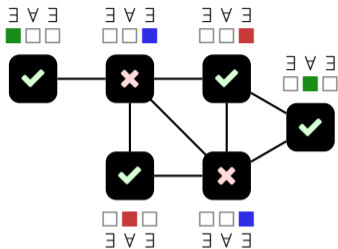


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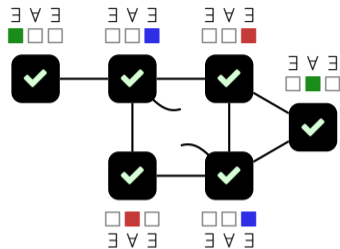


Eve ( $\exists$ )



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$\rightarrow \Sigma_3 \text{ EVE}$   
 $\Sigma_2 \text{ VE}$   
 $\rightarrow \Sigma_1 \text{ E}$

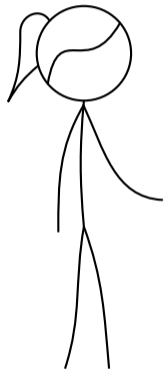


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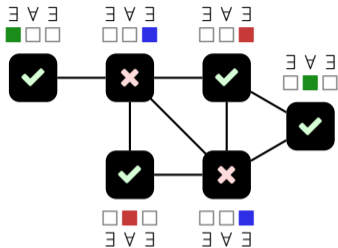


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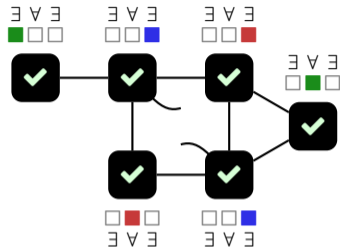


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$$\begin{aligned} & \rightarrow \Sigma_3 \text{ EAE} \\ & \Sigma_2 \text{ AE} \\ & \rightarrow \Sigma_1 \text{ E} \end{aligned}$$



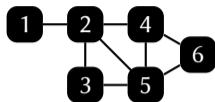
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$$\begin{aligned} \Pi_3 \text{ AEAE} \\ \Pi_2 \text{ AE} \\ \Pi_1 \text{ A} \end{aligned}$$



Adam ( $\forall$ )

## Related work



Feuilleley  
Fraigniaud  
Hirvonen  
(ICALP 2016)

Balliu  
D'Angelo  
Fraigniaud  
Olivetti  
(STACS 2017)

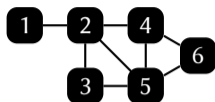
Aldema Tshuva  
Oshman  
(PODC 2022)

This work

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This work

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ID uniqueness

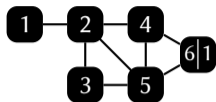
global

global

global



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Feuilleley  
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Hirvonen  
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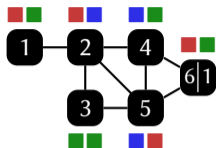
global

global

global

local

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	Feuilleley Fraigniaud Hirvonen (ICALP 2016)	Balliu D'Angelo Fraigniaud Olivetti (STACS 2017)	Aldema Tshuva Oshman (PODC 2022)	This work
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ID uniqueness

global

global

global

local

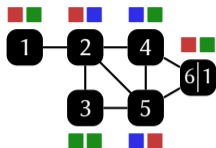
IDs in certificates

yes

no

no

## Related work



	Feuilleley Fraigniaud Hirvonen (ICALP 2016)	Balliu D'Angelo Fraigniaud Olivetti (STACS 2017)	Aldema Tshuva Oshman (PODC 2022)	This work
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---

ID uniqueness

global

global

global

local

IDs in certificates

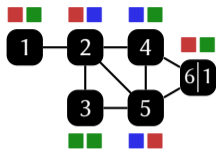
yes

no

no

(yes)

## Related work



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yes

no

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(yes)

Certificate size

$O(\log n)$

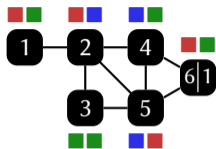
unbounded

poly  $n$

---

$n$ : number of nodes

## Related work



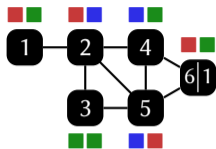
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ID uniqueness	global	global	global	local
IDs in certificates	yes	no	no	(yes)
Certificate size	$O(\log n)$	unbounded	poly $n$	poly $ \mathcal{N}_r(v) $

$n$ : number of nodes

$|\mathcal{N}_r(v)|$ : size of node  $v$ 's  $r$ -neighborhood

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ID uniqueness	global	global	global	local
IDs in certificates	yes	no	no	(yes)
Certificate size	$O(\log n)$	unbounded	poly $n$	poly $ \mathcal{N}_r(v) $
Computation time	unbounded	unbounded	poly $n$	poly $ \mathcal{N}_r(v) $

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$|\mathcal{N}_r(v)|$ : size of node  $v$ 's  $r$ -neighborhood

# Using logic and automata theory



## The LOCAL model

- + locally unique IDs
- + local-polynomial bounds

# Using logic and automata theory

## Monadic second-order logic (MSO)

- ▶ *Yields an infinite hierarchy on grids* [1].
- ▶ *Satisfies a locality property* [2].



## The LOCAL model

- + locally unique IDs
- + local-polynomial bounds

[1] Matz, Schweikardt, Thomas (2002)

[2] Giammarresi, Restivo, Seibert, Thomas (1996)



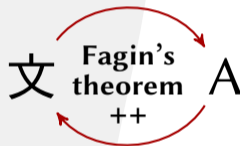
# Using logic and automata theory

## Monadic second-order logic (MSO)

- ▶ *Yields an infinite hierarchy on grids* [1].
- ▶ *Satisfies a locality property* [2].

## Finite-state automata

- ▶ *Satisfy a pumping lemma* [3].
- ▶ *Are equivalent to MSO on words* [4].



## The LOCAL model

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- + local-polynomial bounds

[1] Matz, Schweikardt, Thomas (2002)

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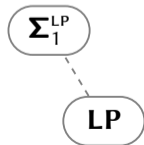
[3] Rabin, Scott (1959) & Bar-Hillel, Perles, Shamir (1961)

[4] Büchi (1960) & Elgot (1961) & Trakhtenbrot (1962)

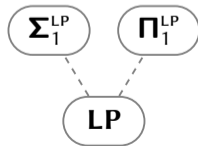
# The local-polynomial hierarchy

LP

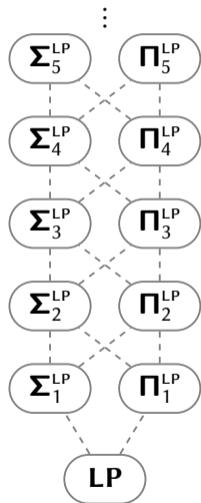
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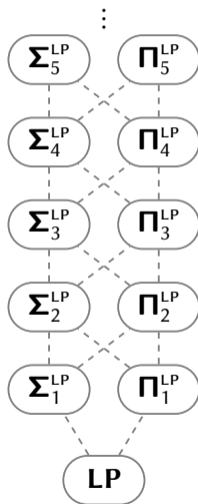
# The local-polynomial hierarchy



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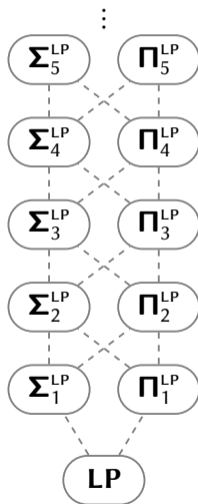
# The local-polynomial hierarchy



Connection to classical complexity:

$$\Sigma_{\ell}^{\text{P}} = \Sigma_{\ell}^{\text{LP}} \big|_{\text{NODE}} \quad \Pi_{\ell}^{\text{P}} = \Pi_{\ell}^{\text{LP}} \big|_{\text{NODE}}$$

# The local-polynomial hierarchy



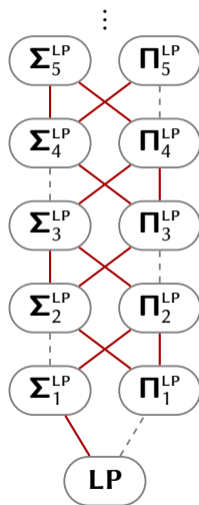
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In particular:

$$P = LP|_{\text{NODE}} \quad NP = \Sigma_1^{LP}|_{\text{NODE}}$$

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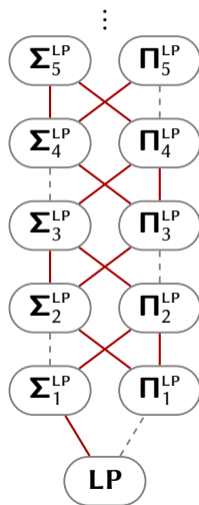
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THEOREM: — Strict inclusions



# The local-polynomial hierarchy



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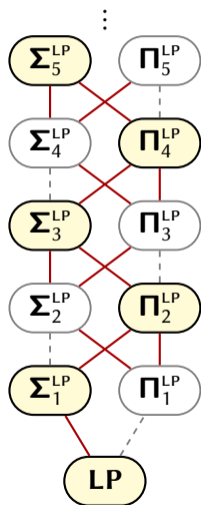
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**THEOREM:** — Strict inclusions  
--- Equalities iff  $\mathbf{P} = \mathbf{NP}$

# The local-polynomial hierarchy



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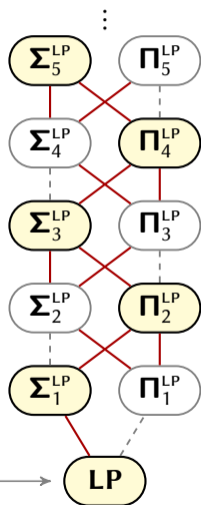
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# The local-polynomial hierarchy



EULERIAN  
LP-complete

Connection to classical complexity:

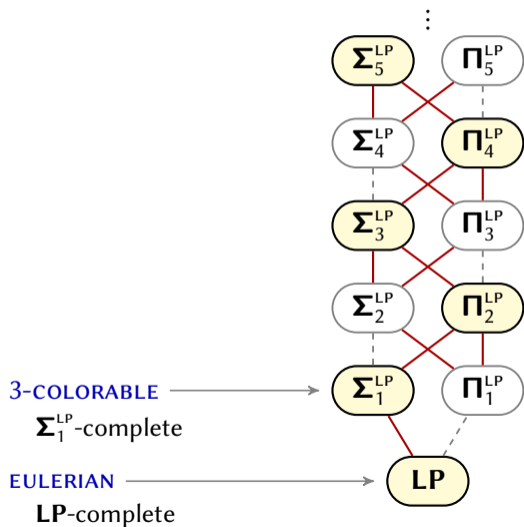
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Connection to classical complexity:

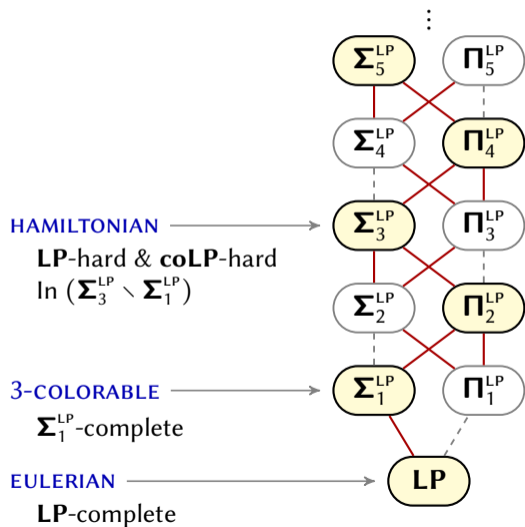
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Connection to classical complexity:

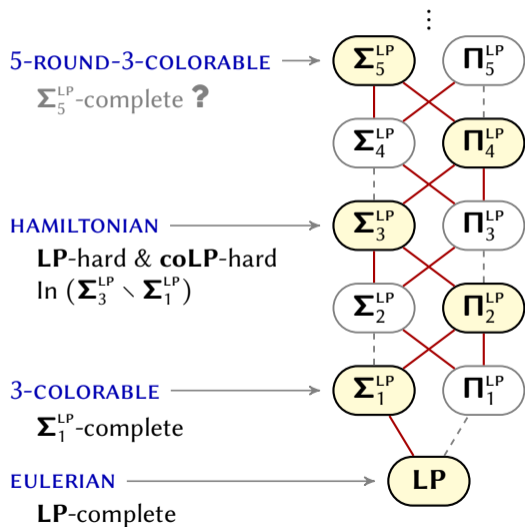
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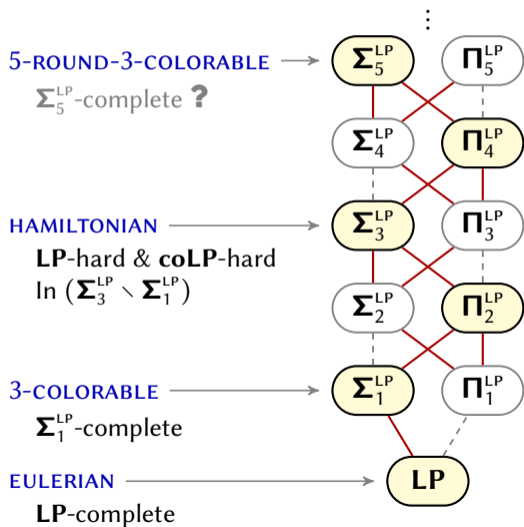
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- Strict inclusions
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# The local-polynomial hierarchy

## PRIME-NB-NODES



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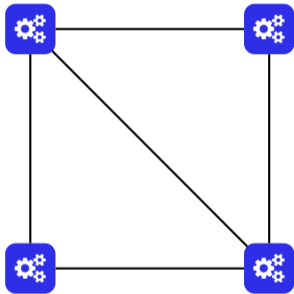
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**THEOREM:** — Strict inclusions  
 - - - Equalities iff  $\text{P} = \text{NP}$

# A local-polynomial reduction

$G$ :

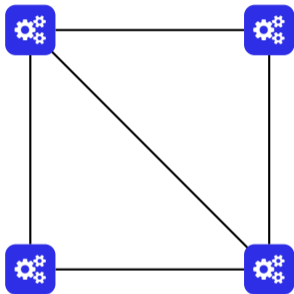


All nodes of  $G$  are blue



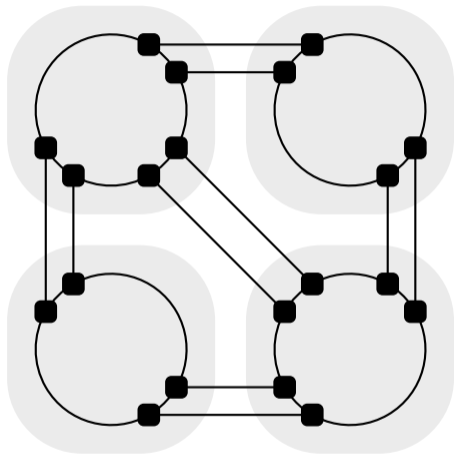
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$G$ :



All nodes of  $G$  are blue

$G'$ :

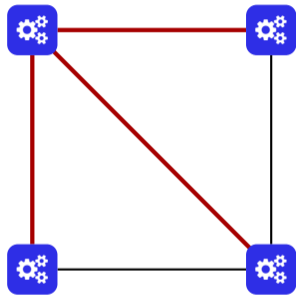


$G'$  contains a Hamiltonian cycle



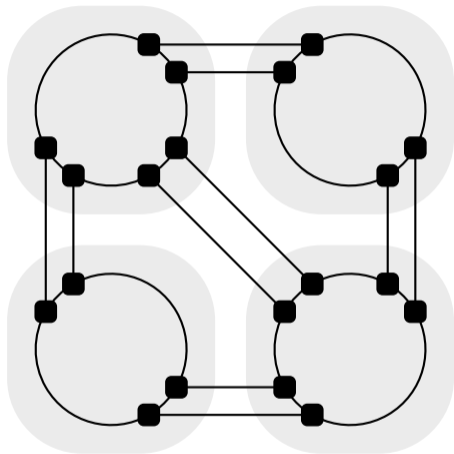
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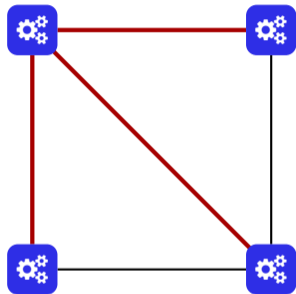


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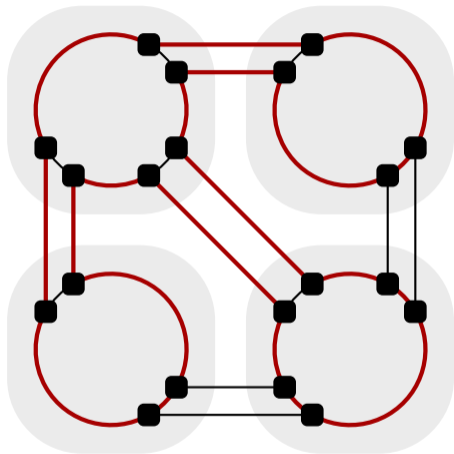
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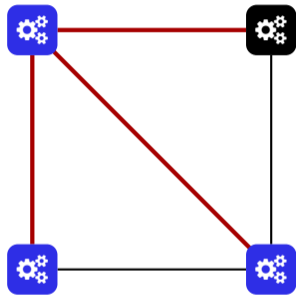


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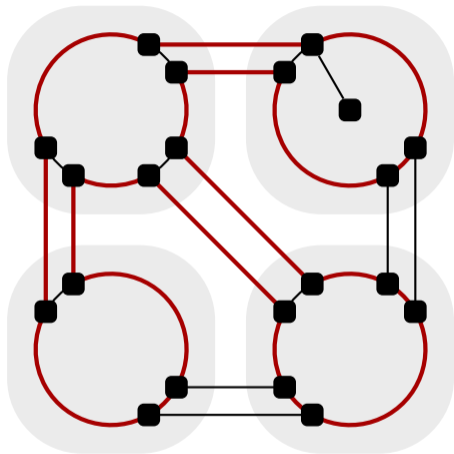
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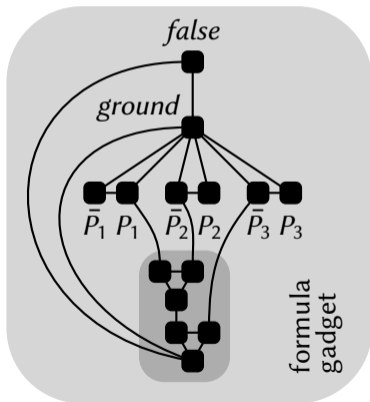


# Extending a classical reduction

$G$  is satisfiable  $\iff G'$  is 3-colorable

$G: P_1 \vee \bar{P}_2 \vee \bar{P}_3$

$G'$ :



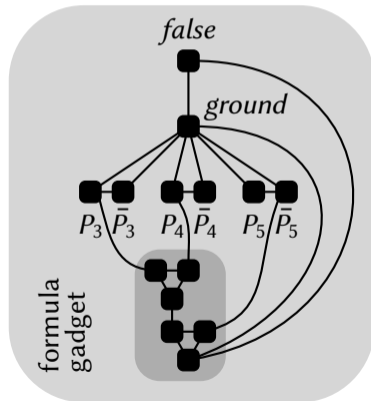
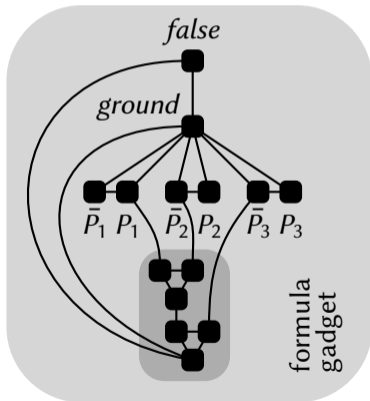
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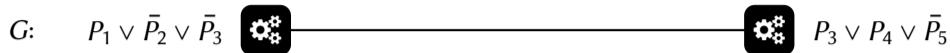
$$P_3 \vee P_4 \vee \bar{P}_5$$

$G'$ :

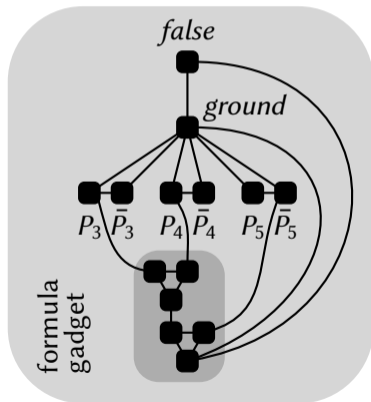
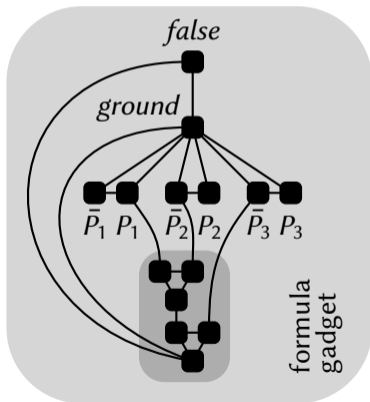


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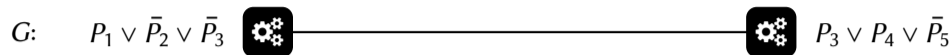


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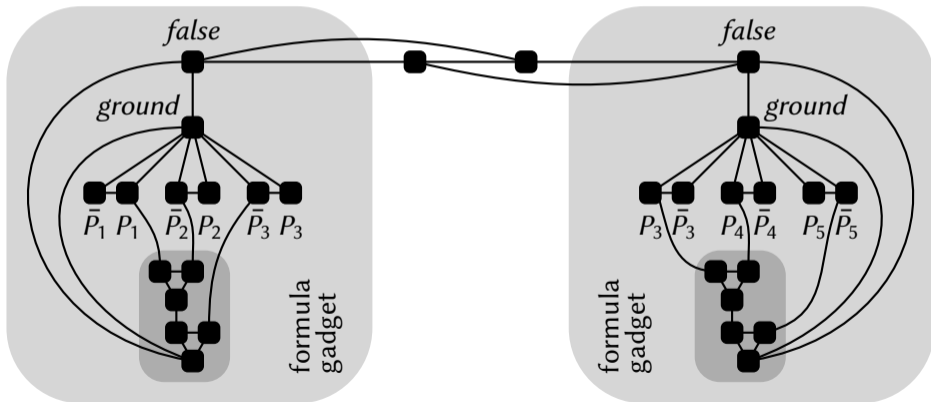


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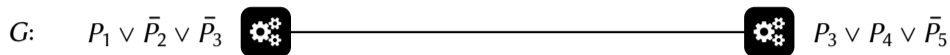
$G'$ :



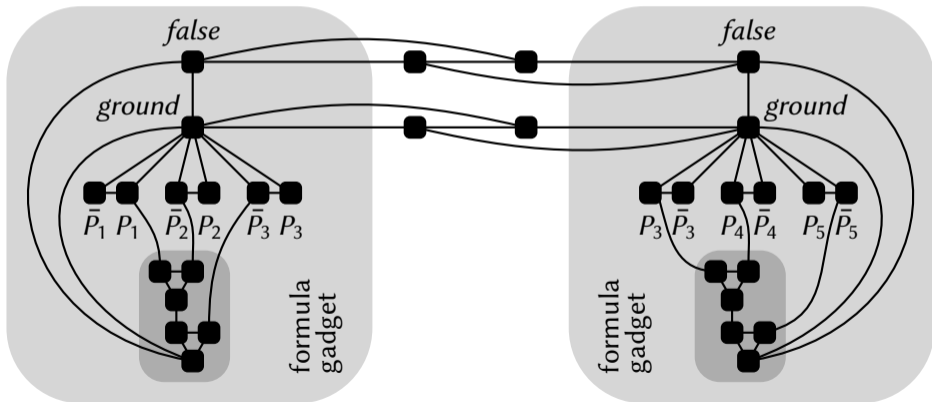


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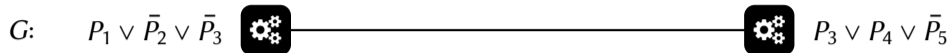


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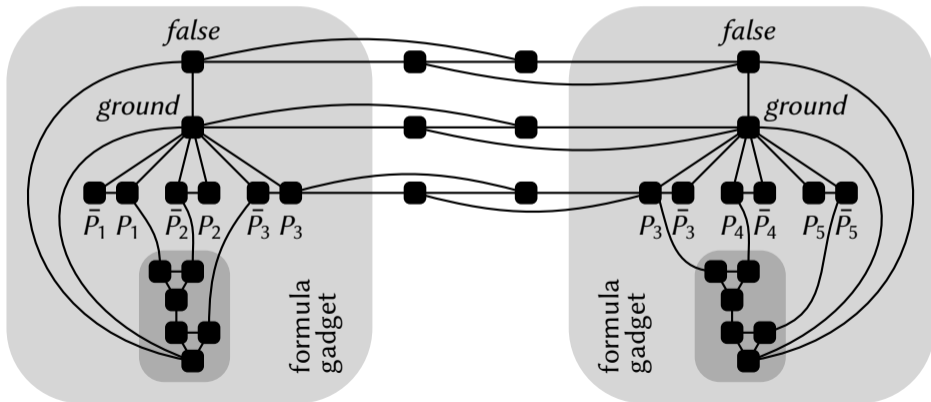


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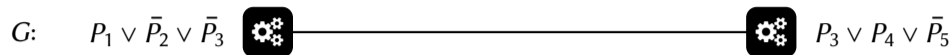


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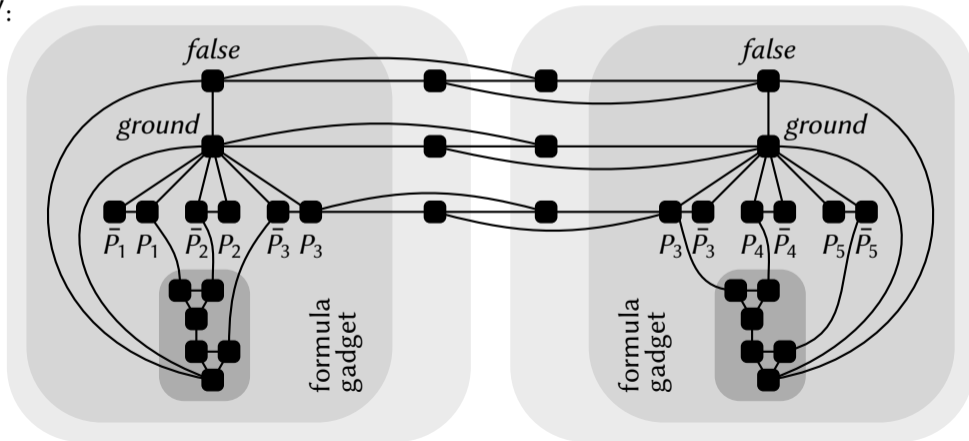


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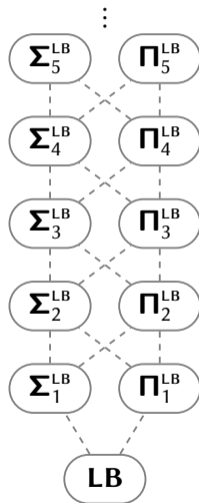
$G$  is satisfiable  $\iff G'$  is 3-colorable



$G'$ :



# The local-bounded hierarchy

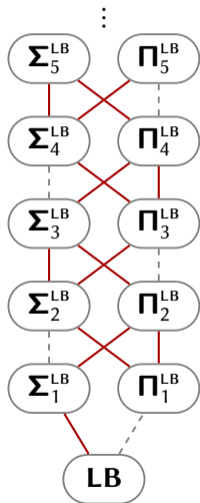


**LP-hierarchy**  
polynomial bounds



**LB-hierarchy**  
arbitrary bounds

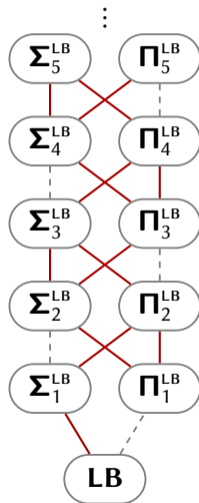
# The local-bounded hierarchy



**LP-hierarchy**  $\longrightarrow$  **LB-hierarchy**  
polynomial bounds      arbitrary bounds

THEOREM: — Strict inclusions

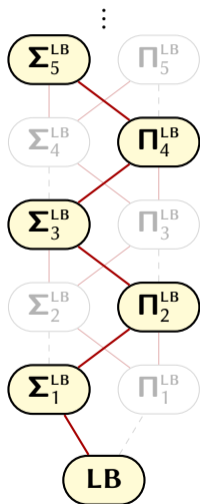
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# The local-bounded hierarchy

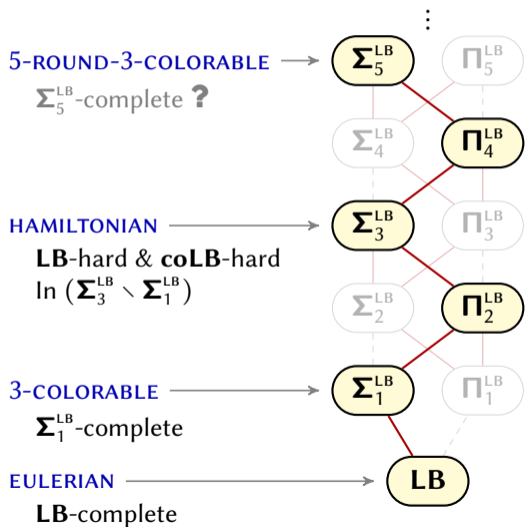


**LP-hierarchy**  $\longrightarrow$  **LB-hierarchy**  
polynomial bounds      arbitrary bounds

**THEOREM:** — Strict inclusions  
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# The local-bounded hierarchy

## PRIME-NB-NODES



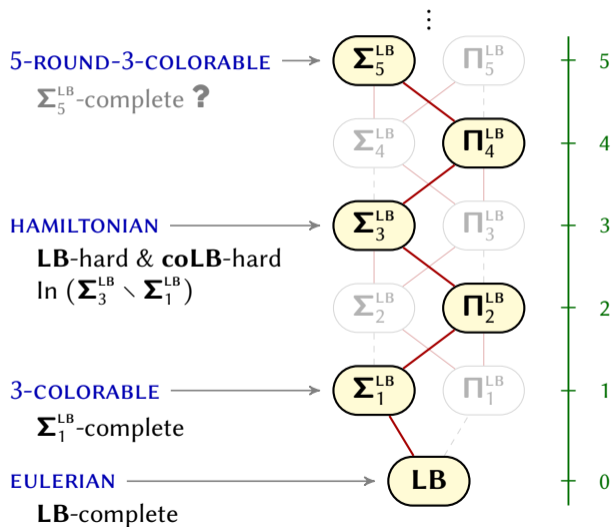
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# The local-bounded hierarchy

## PRIME-NB-NODES

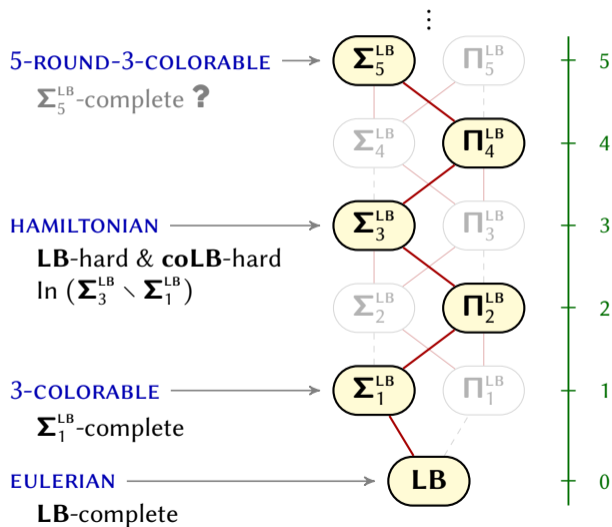


**LP-hierarchy**  $\rightarrow$  **LB-hierarchy**  
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**THEOREM:** — Strict inclusions  
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# The local-bounded hierarchy

## PRIME-NB-NODES



**LP-hierarchy**  $\rightarrow$  **LB-hierarchy**  
 polynomial bounds  $\rightarrow$  arbitrary bounds

**THEOREM:** — Strict inclusions  
 - - - Equalities

We lose one thing:  
**Fagin's theorem**

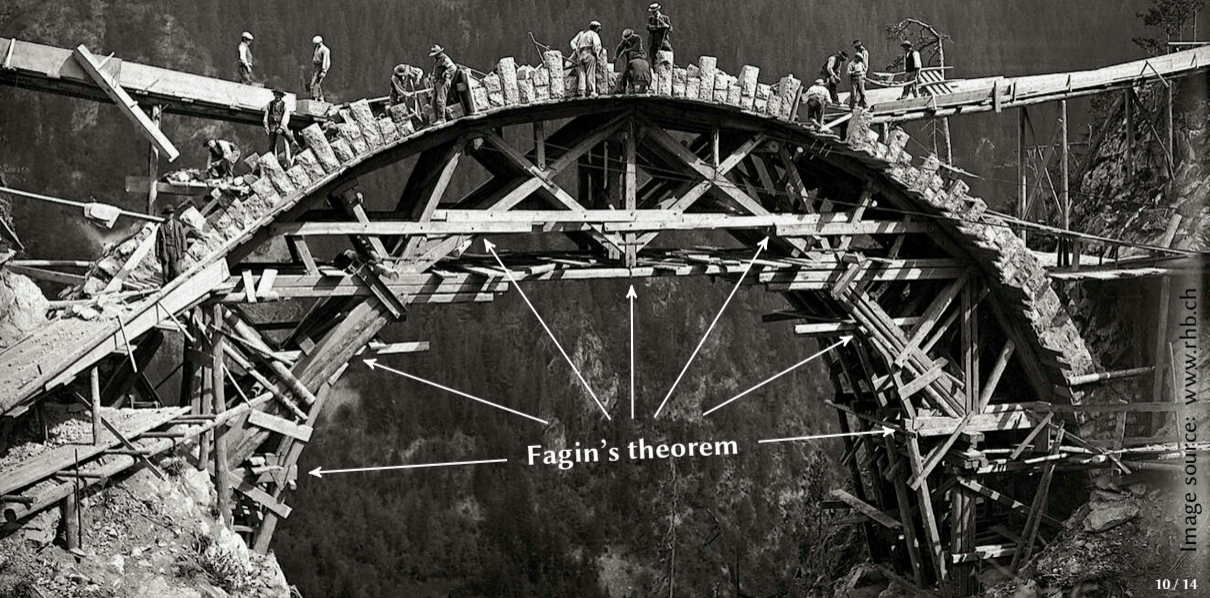
*A measure of locality?*

# Building a theory




Image source: [www.rhb.ch](http://www.rhb.ch)

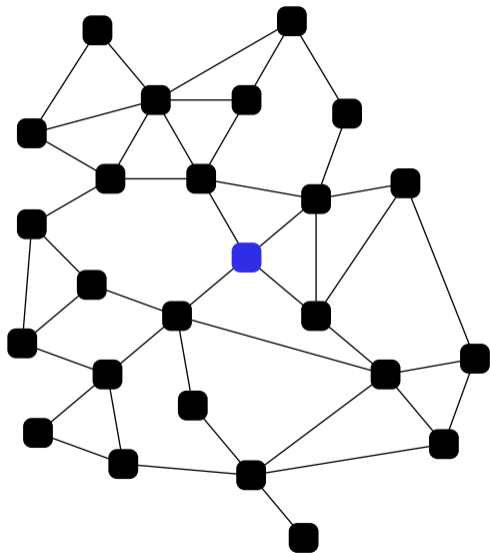
# Building a theory



Fagin's theorem

SOME-NODE-BLUE  $\in \Sigma_3^{LB}$

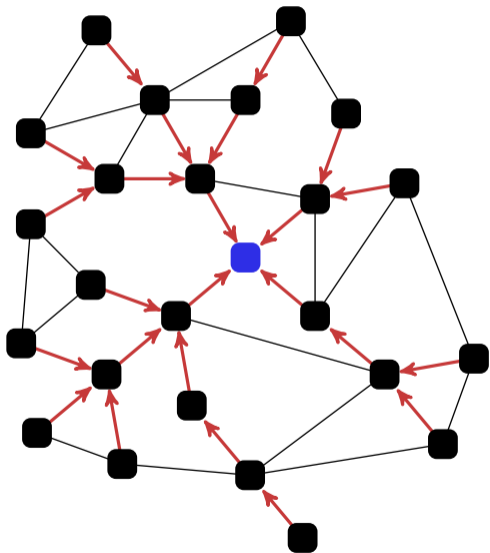
To prove the existence of a **blue node** :



SOME-NODE-BLUE  $\in \Sigma_3^{LB}$

To prove the existence of a **blue node**  $\blacksquare$ :

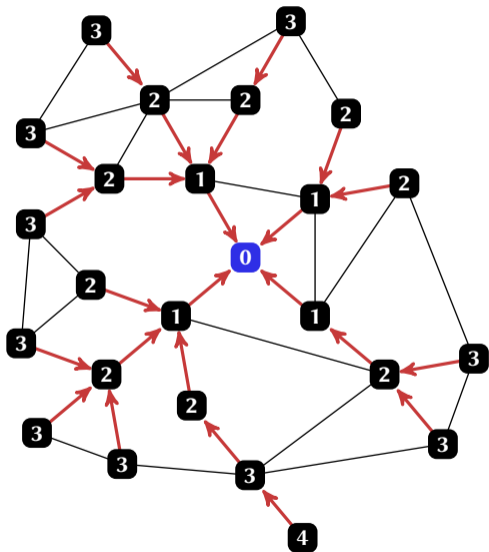
1. Eve chooses a **spanning tree**  $\uparrow$  rooted at  $\blacksquare$ .



SOME-NODE-BLUE  $\in \Sigma_3^{LB}$

To prove the existence of a **blue node**  $\bullet$ :

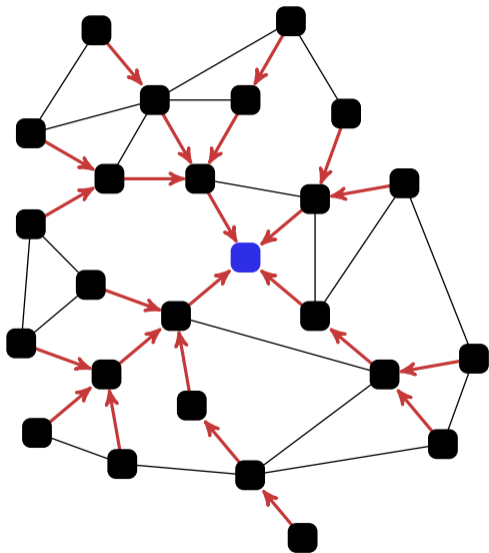
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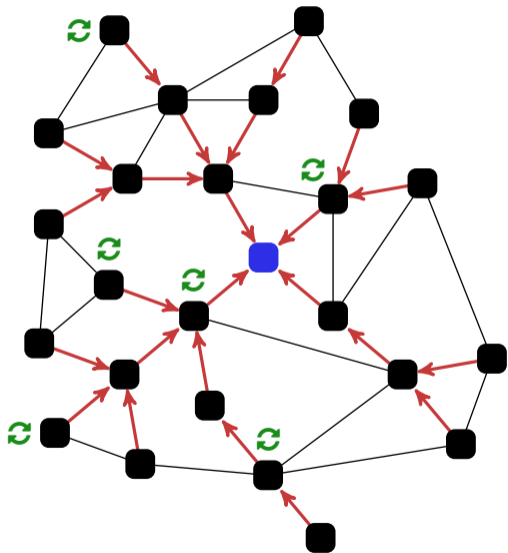




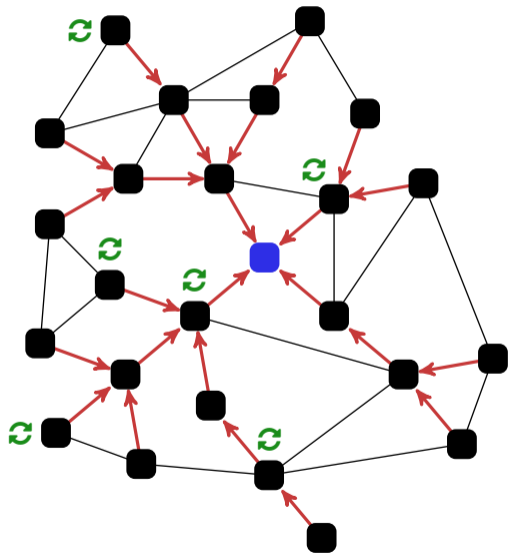
SOME-NODE-BLUE  $\in \Sigma_3^{LB}$

To prove the existence of a **blue node** :

1. Eve chooses a **spanning tree**  rooted at .
2. Adam chooses a set of **flipping nodes** .



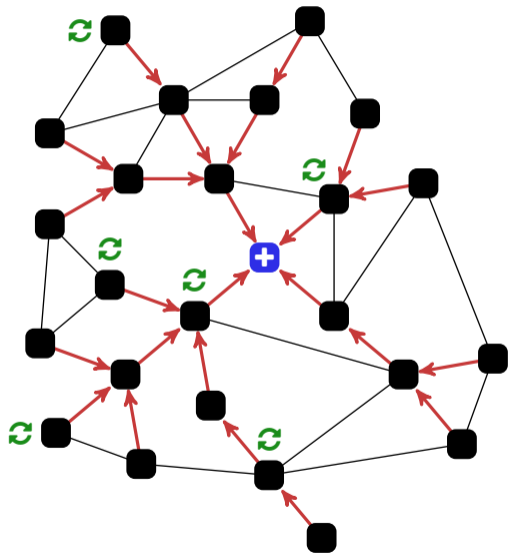
SOME-NODE-BLUE  $\in \Sigma_3^{LB}$



To prove the existence of a **blue node**  $\blacksquare$ :

1. Eve chooses a **spanning tree**  $\uparrow$  rooted at  $\blacksquare$ .
2. Adam chooses a set of **flipping nodes**  $\textcircled{\curvearrowright}$ .
3. Eve **charges nodes** either  $+$  or  $-$  so that:
  - ▶  $\blacksquare$  is charged  $+$ .
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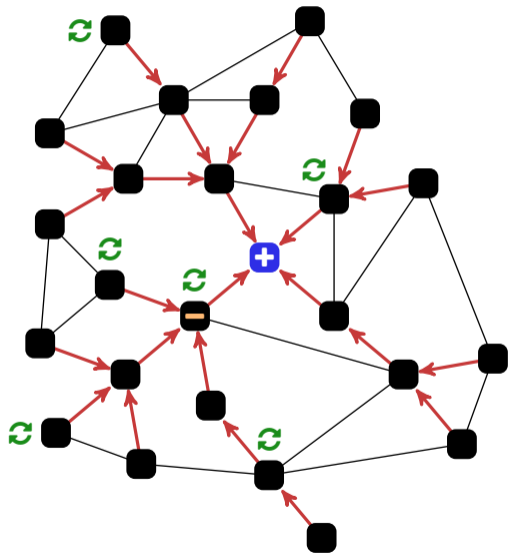
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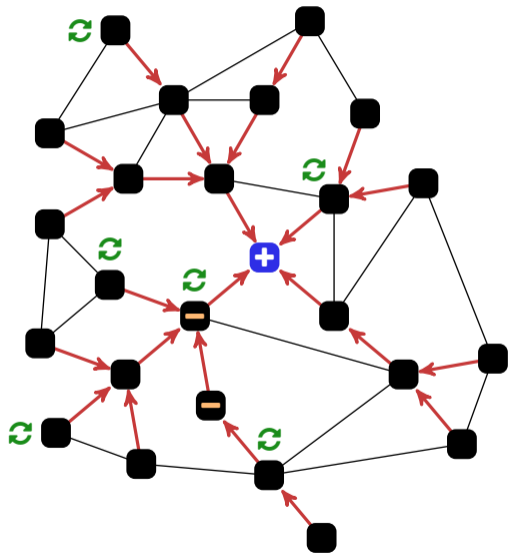
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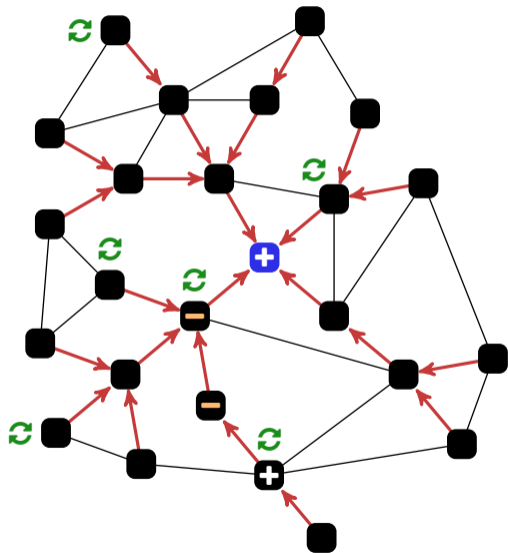
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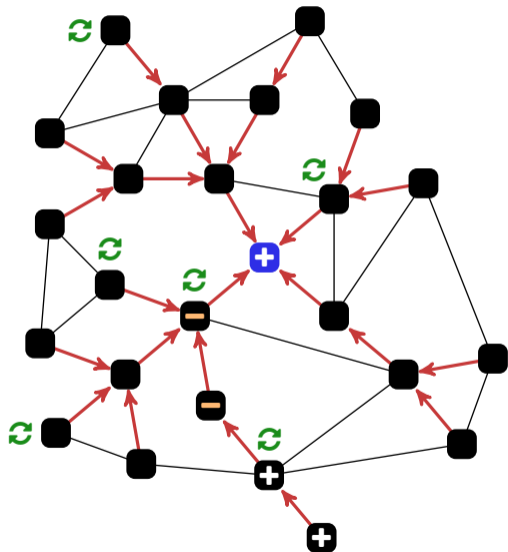
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SOME-NODE-BLUE  $\in \Sigma_3^{LB}$

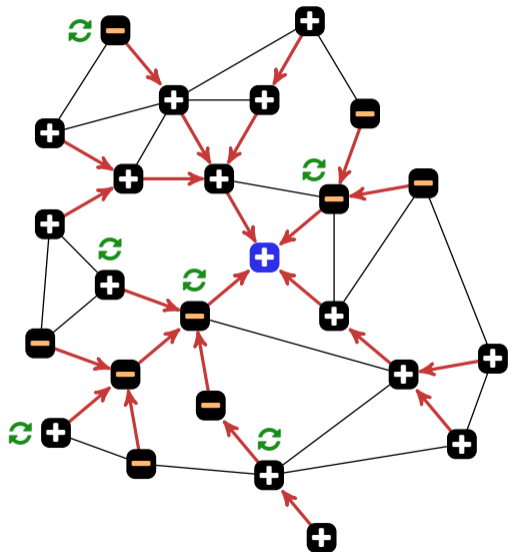


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To prove the existence of a **blue node**  $\blacksquare$ :

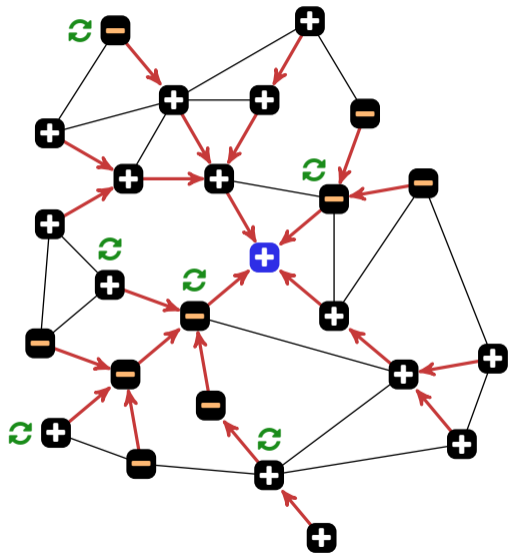


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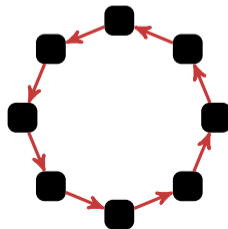


SOME-NODE-BLUE  $\in \Sigma_3^{LB}$

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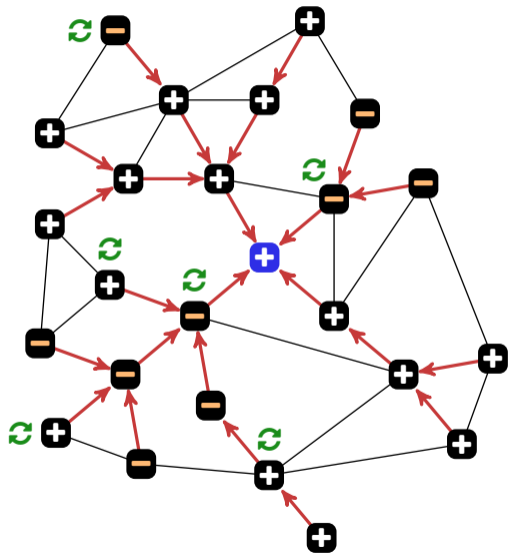


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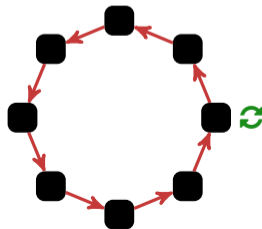


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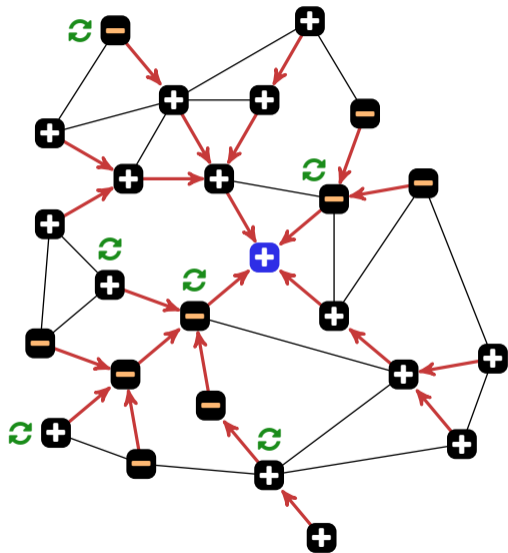


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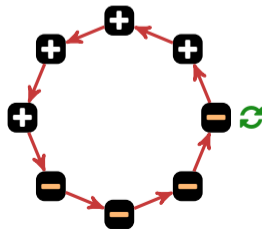


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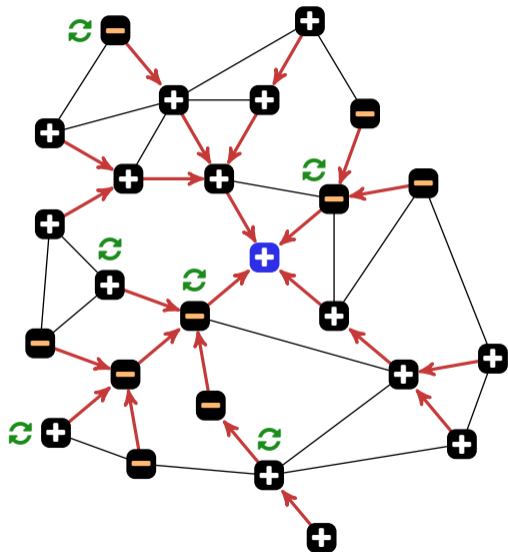


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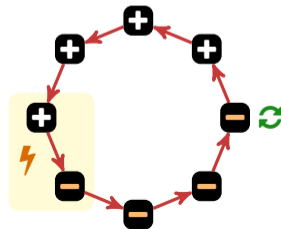


SOME-NODE-BLUE  $\in \Sigma_3^{LB}$

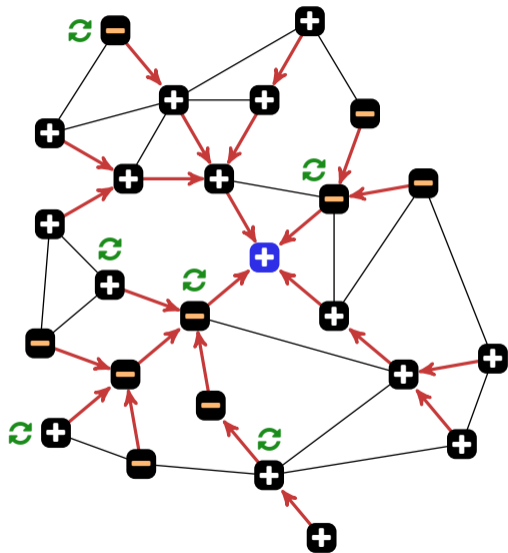
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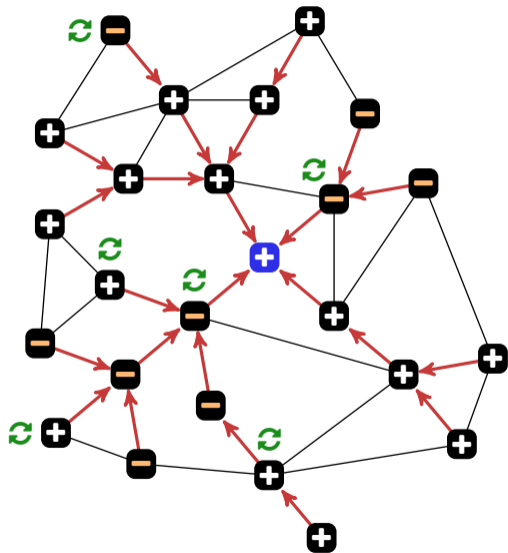
UNIQUE-BLUE-NODE  $\in \Sigma_3^{\text{LB}}$



To prove that there is exactly one ●:

1. Eve chooses a **spanning tree**  $\uparrow$  rooted at ●.
2. Adam chooses a set of **flipping nodes**  $\curvearrowright$ .
3. Eve **charges nodes** either **+** or **-** so that:
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UNIQUE-BLUE-NODE  $\in \Sigma_3^{\text{LB}}$

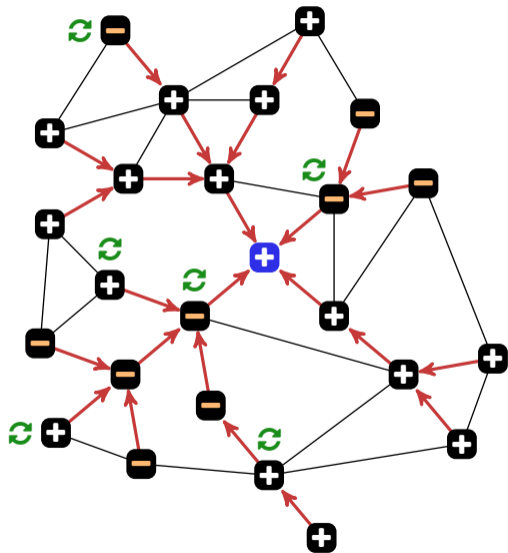


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*Eve tells each node if ● is a flipping node.*

UNIQUE-BLUE-NODE  $\in \Sigma_3^{LB}$



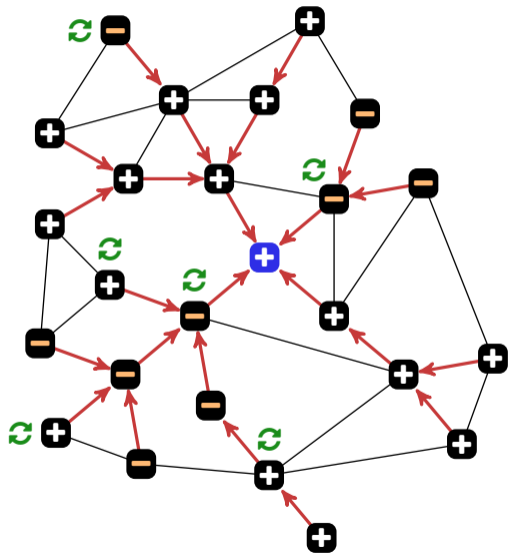
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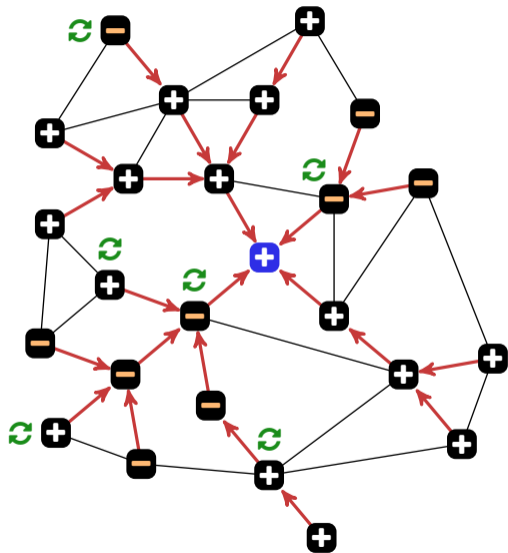
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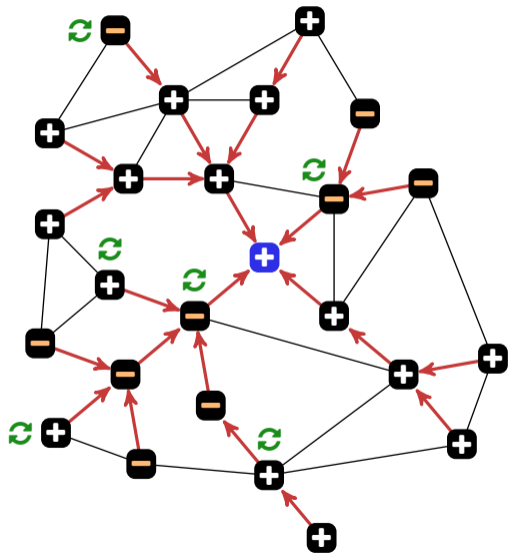
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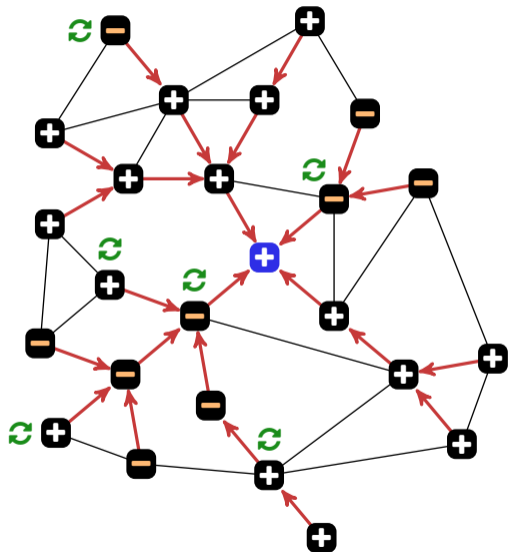
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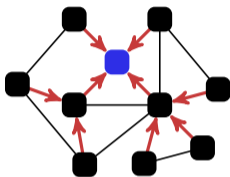
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# Properties in $\Sigma_3^{LB}$

With a **spanning tree**, Eve can prove many things:

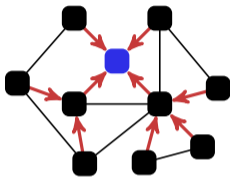
UNIQUE-BLUE-NODE



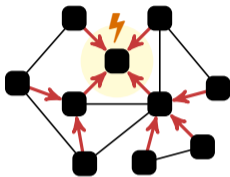
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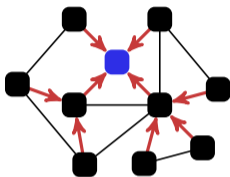
Any property in **coLB**



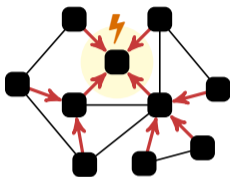
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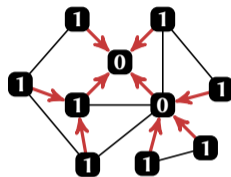
UNIQUE-BLUE-NODE



Any property in **coLB**



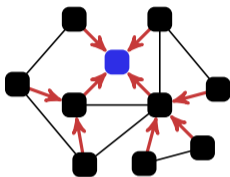
EVEN-NB-NODES



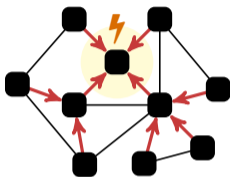
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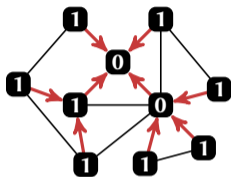
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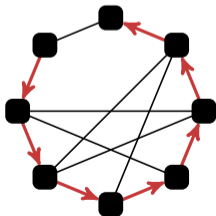
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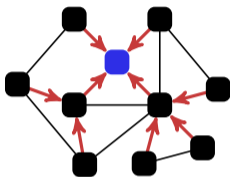
HAMILTONIAN



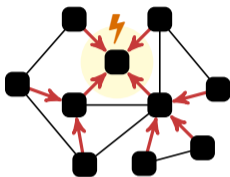
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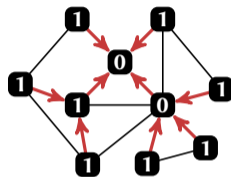
UNIQUE-BLUE-NODE



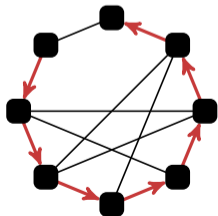
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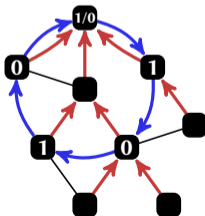
EVEN-NB-NODES



HAMILTONIAN



NON-2-COLORABLE

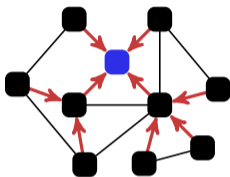




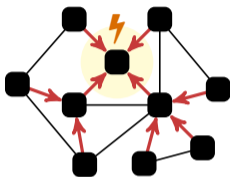
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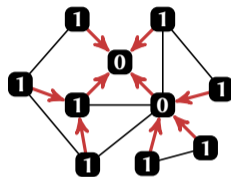
UNIQUE-BLUE-NODE



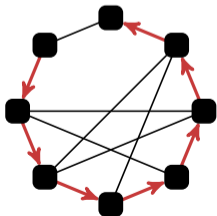
Any property in **coLB**



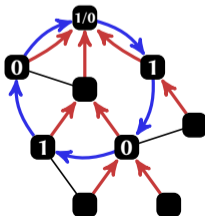
EVEN-NB-NODES



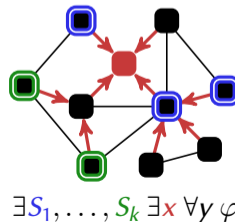
HAMILTONIAN



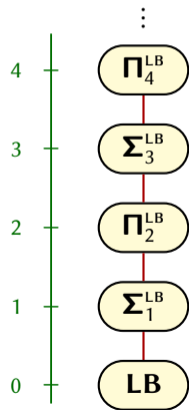
NON-2-COLORABLE



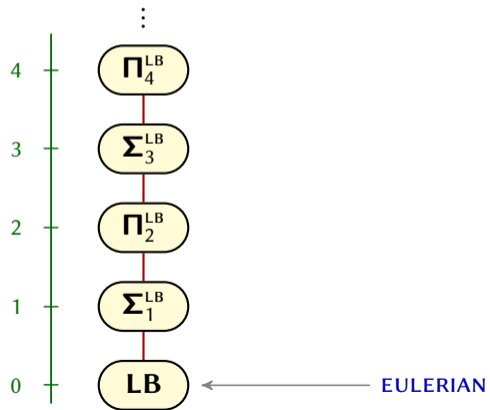
EMSO-definable properties



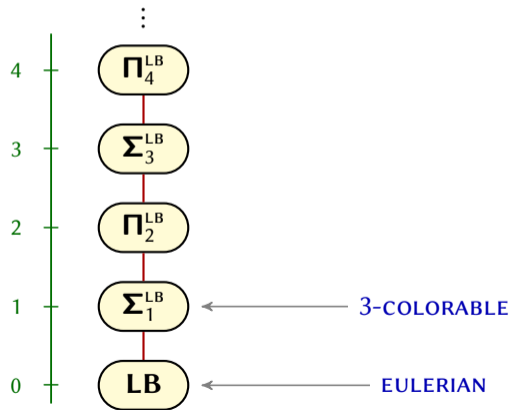
# Measuring locality?



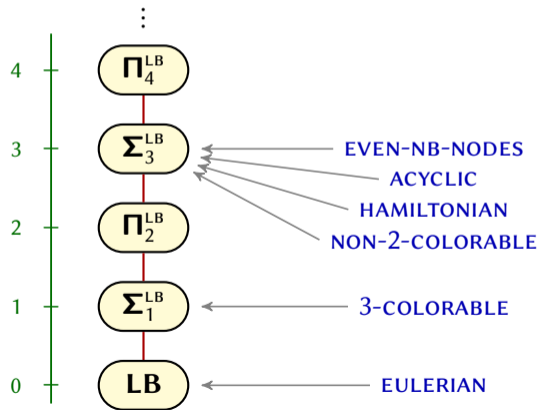
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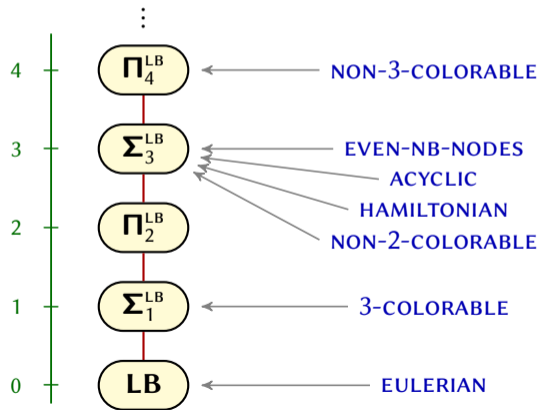
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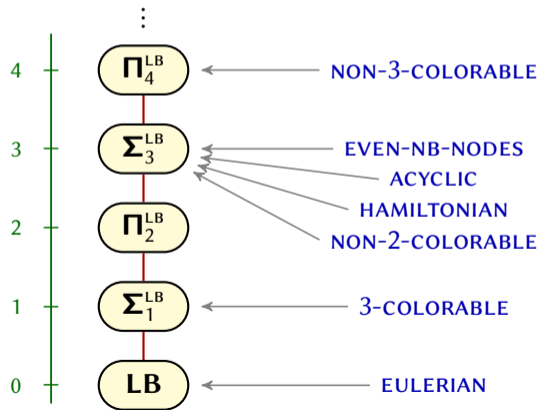


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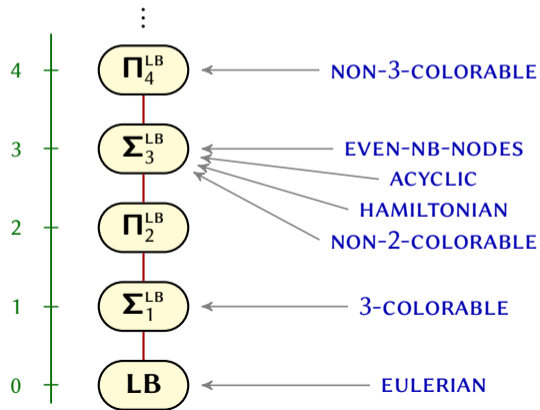
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PRIME-NB-NODES



# Measuring locality?

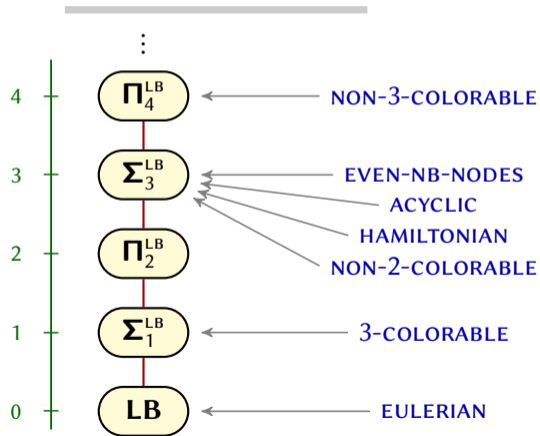
? AUTOMORPHIC  
PRIME-NB-NODES



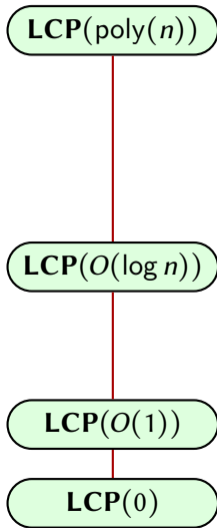


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? AUTOMORPHIC  
PRIME-NB-NODES

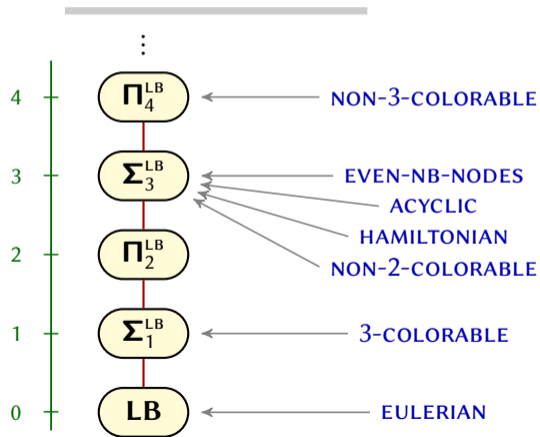


## Locally Checkable Proofs Göös, Suomela (PODC 2011)

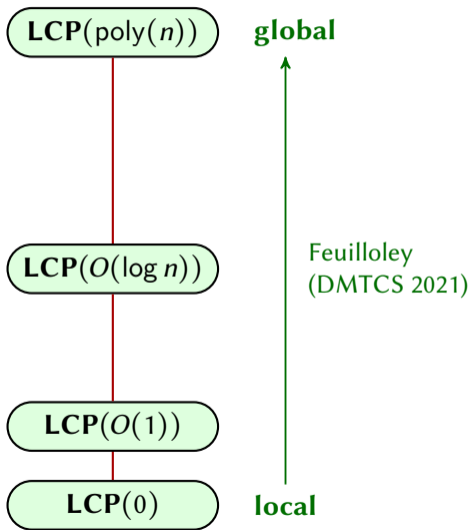


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PRIME-NB-NODES

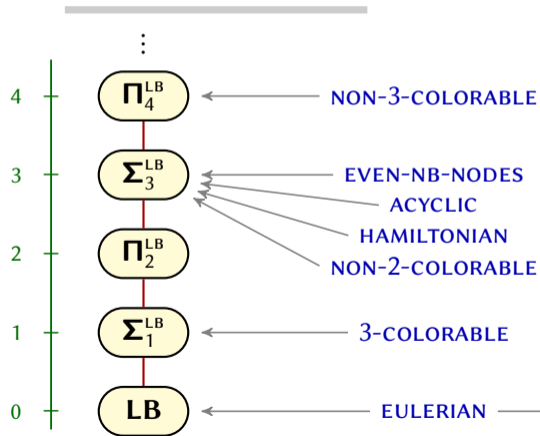


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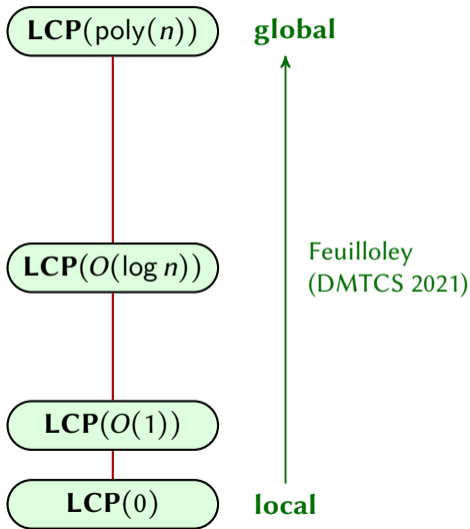


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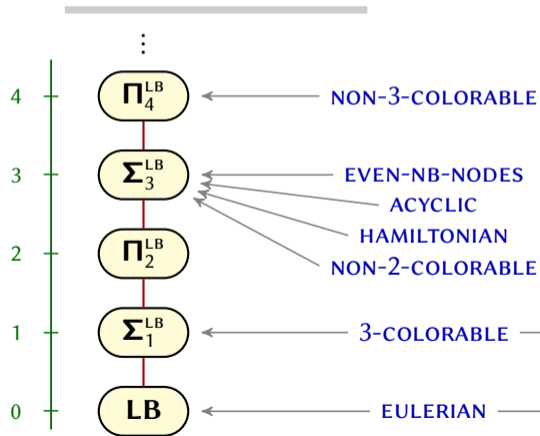


## Locally Checkable Proofs Göös, Suomela (PODC 2011)

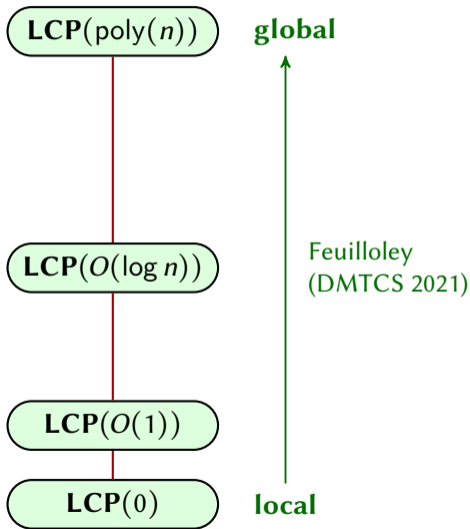


# Measuring locality?

? AUTOMORPHIC  
PRIME-NB-NODES



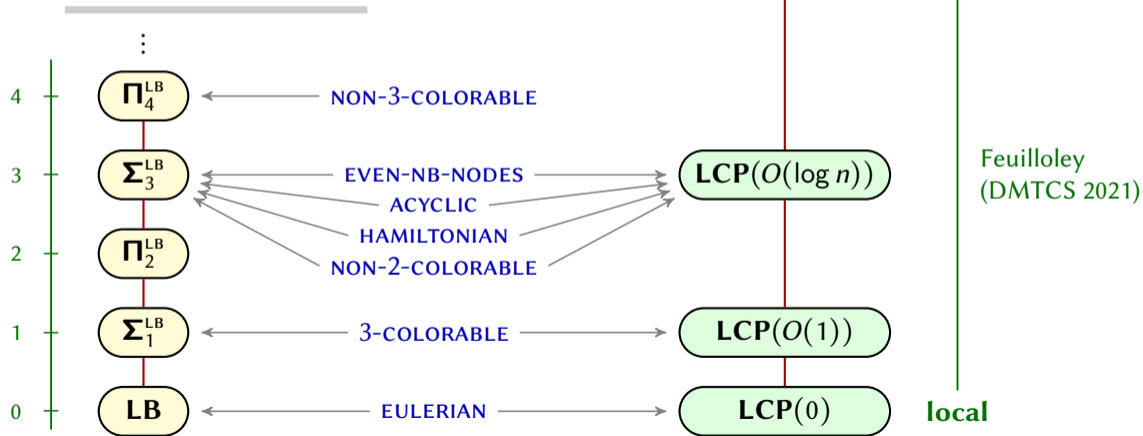
## Locally Checkable Proofs Göös, Suomela (PODC 2011)



# Measuring locality?

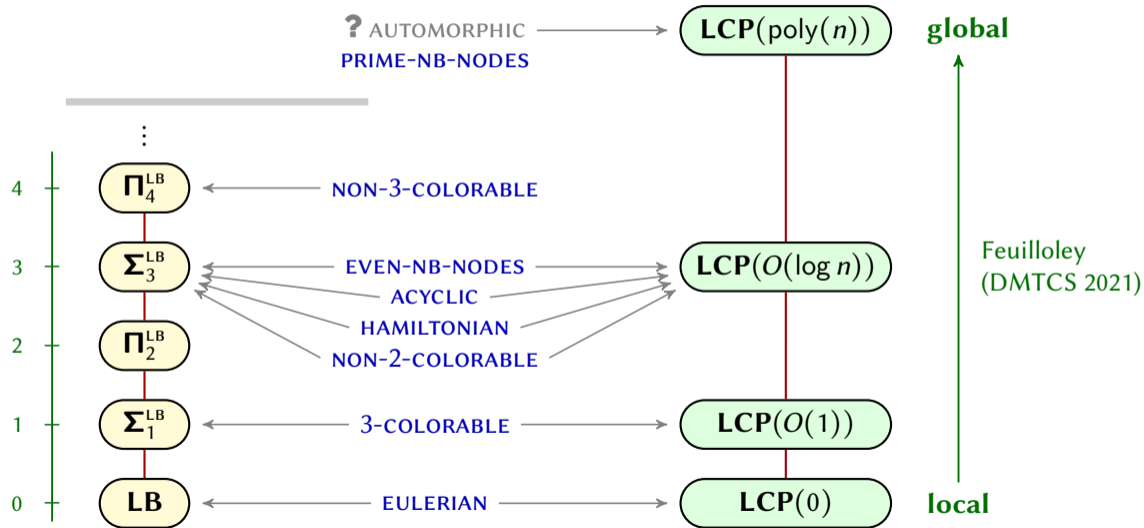
## Locally Checkable Proofs Göös, Suomela (PODC 2011)

? AUTOMORPHIC  
PRIME-NB-NODES



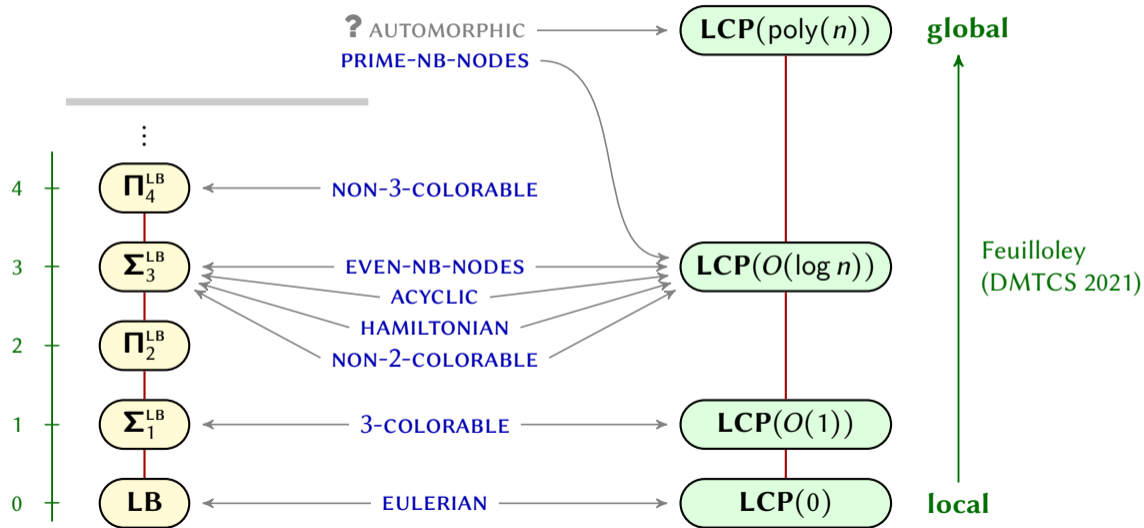
# Measuring locality?

## Locally Checkable Proofs Göös, Suomela (PODC 2011)



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## Locally Checkable Proofs Göös, Suomela (PODC 2011)

